

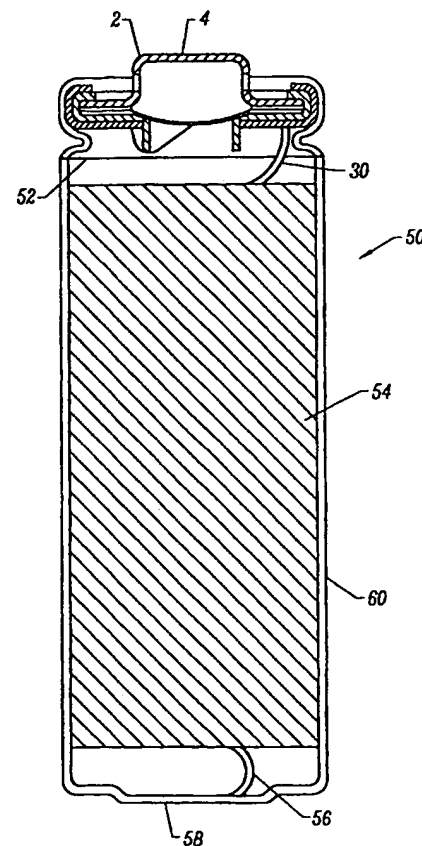
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : H01M 2/04		A1	(11) International Publication Number: WO 98/24131
			(43) International Publication Date: 4 June 1998 (04.06.98)
(21) International Application Number: PCT/US97/21842 (22) International Filing Date: 25 November 1997 (25.11.97) (30) Priority Data: 08/758,007 27 November 1996 (27.11.96) US (71) Applicant: POLYSTOR CORPORATION [US/US]; 6918 Sierra Court, Dublin, CA 94568 (US). (72) Inventor: MAYER, Steven, T.; 16026 Selbourne Drive, San Leandro, CA 94578 (US). (74) Agents: HICKMAN, Paul, L. et al.; Hickman Beyer & Weaver, LLP, P.O. Box 61059, Palo Alto, CA 94306 (US).		(81) Designated States: JP, KR, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report.	

(54) Title: LITHIUM NICKEL COBALT OXIDES FOR POSITIVE ELECTRODES

(57) Abstract

Positive electrodes (2) including a lithium nickel cobalt oxide are disclosed. The lithium nickel cobalt metal oxides have the general formula $\text{Li}_x\text{Ni}_y\text{Co}_z\text{M}_n\text{O}_2$, where M is selected from the group consisting of aluminum, titanium, tungsten, chromium, molybdenum, magnesium, tantalum, silicon, and combinations thereof, x is between about 0 and about 1 and can be varied within this range by electrochemical insertion and extraction, the sum of $y+z+n$ is about 1, n ranges between above 0 to about 0.25, y and z are both greater than 0, and the ratio of z/y ranges from above 0 to about 1/3. Also disclosed are composite positive electrodes including the above-described lithium nickel cobalt metal oxides together with a lithium manganese metal oxide of the formula $\text{Li}_r\text{Mn}_{2-r}\text{M1O}_4$, where r is a value between 0 and 1 and M1 is chromium, titanium, tungsten, nickel, cobalt, iron, tin, zinc, zirconium, silicon, or a combination thereof.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

LITHIUM NICKEL COBALT OXIDES FOR POSITIVE ELECTRODES

BACKGROUND OF THE INVENTION

5 The present invention relates to positive electrodes for use in electrochemical energy storage devices. More particularly, the invention relates to lithium insertion positive electrodes containing either lithium nickel cobalt oxides or mixtures of such materials with other compounds.

10 Due to the increasing demand for battery-powered electronic equipment, there has been a corresponding increase in demand for rechargeable battery cells having high specific energies. In order to meet this demand, various types of rechargeable cells have been developed, including improved aqueous nickel-cadmium batteries, various formulations of aqueous nickel-metal hydride batteries and, recently, nonaqueous rechargeable lithium-ion cells (sometimes referred to as "lithium rocking chair," or "lithium intercalation" cells). Lithium-ion cells are particularly attractive because they have a high cell voltage and a high
15 specific energy.

Various positive electrodes ("cathodes" on discharge) have been studied and/or used in lithium ion batteries. These include lithium molybdenum sulfides, lithium molybdenum oxides, lithium vanadium oxides, lithium chromium oxides, lithium titanium oxides, lithium tungsten oxides, lithium cobalt oxides, lithium nickel oxides, and lithium manganese oxides.
20 The preparation and use of lithium transition metal oxide positive electrodes are described in various publications including U.S. Patents Nos. 4,302,518 and 4,357,215 issued to Goodenough et al., which are incorporated herein by reference for all purposes.

While these materials, particularly lithium cobalt oxide (LiCoO_2), lithium nickel oxide (LiNiO_2), and lithium manganese oxide spinel (LiMn_2O_4), have been found somewhat
25 adequate, they each have some serious shortcomings. For example, they may have an unacceptably high irreversible capacity loss. This loss occurs during a first charge cycle when the cell's negative electrode undergoes formation.

"Formation" refers to electrode modification processes employed after a cell is assembled, but before it is reversibly cycled; note that some but not all cell types require
30 formation. In cells that require it, formation electrochemically modifies the cell's electrodes so that thereafter they can be reversibly cycled. In lithium ion cells, formation involves an initial cycle which irreversibly drives some lithium ions from the positive electrode material to a carbon negative electrode ("anode" on discharge) where they are believed to form a surface

layer that has been found necessary to provide high energy cycling. This surface layer is known as a solid electrolyte interface or "SEI."

The ratio of the first cycle charge capacity over the first cycle discharge capacity for a positive electrode is an important parameter in lithium ion cell design. This ratio should be compared to the same ratio for the cell's negative electrode. In all cases, the positive electrode's first cycle capacity ratio should be designed to match the negative electrode's first cycle capacity ratio. If the positive electrode ratio exceeds the negative electrode ratio, lithium metal electroplating can occur, which can result in undesirable capacity fading and safety problems. In the case where this ratio is larger for the negative electrode, the cell's reversible capacity is limited by the negative electrode. Likewise, when the opposite is true, the cell is limited the positive electrode.

The problem of a positive electrode with a high first cycle ratio can be further understood by considering the example of lithium nickel oxide. As this material has a high first cycle charge ratio, less of it is required to "form" a given amount of carbon negative electrode than is required to reversibly cycle against that same amount of negative electrode (assuming that the negative electrode has a lower first charge ratio). Thus, if a cell is provided with an amount of lithium nickel oxide sufficient for formation, that cell will have insufficient lithium nickel oxide to utilize the available negative electrode material during subsequent reversible cycles. That is, the negative electrode will be underutilized, with some fraction of it constituting useless mass (which reduces the cell's specific energy). On the other hand, if more lithium nickel oxide is used in the cell (beyond that required for formation), some metallic lithium will electroplate onto the negative electrode during formation, presenting the danger that the electroplated lithium metal will undergo an exothermic chemical reaction.

By designing a mixed oxide to include nickel plus another metal which tends to equalize the amount of oxide required to reversibly cycle against and form a given amount of negative electrode material, the above difficulties can be mitigated. Lithium nickel cobalt oxides are potentially useful candidates for such applications because the presence of cobalt does, in fact, tend to equalize the amount of oxide required for these two functions. Note that, in contrast to lithium nickel oxide, more lithium cobalt oxide is required to form a negative electrode than to reversibly cycle against it. Thus, it intuitively follows that the presence of cobalt in a nickel oxide will tend to match the formation capacity and reversible capacity of the oxide.

The presence of cobalt has another advantage. During reversible cell cycling, it reduces the average oxidation state of transition metals in the oxide lattice. A fresh uncycled positive electrode could have the formula LiMO_2 , with the valence of M being equal to 3. On

full charge, the positive electrode oxide could in theory have a formula MO_2 , with the valence of M being equal to 4. Thus, during charge the transition metal's oxidation state increases, and during discharge the transition metal's oxidation state decreases. Because some lithium in the positive electrode is irreversibly lost during the formation cycle, the positive electrode matrix can never discharge the whole way to its initial stoichiometry of LiMO_2 . As a consequence, the valence of M is never lowered all the way to 3 (during normal reversible cycling). Rather, the oxidation state of M is bracketed between a value greater than 3 and a value lower than 4 during reversible cycling. The bounds of this oxidation state are determined by how much lithium is lost during formation.

Obviously, compounds with high irreversible capacity losses will cycle at higher average oxidation states of M than compounds with lower irreversible capacity losses. Lithium nickel oxide has a much higher irreversible capacity loss (about 40 mA·hr/gm) than lithium cobalt oxide (about 8 mA·hr/gm). The introduction of cobalt into the LiNiO_2 matrix reduces the irreversible capacity loss and thereby reduces the oxidation state of M during reversible cycling. As a consequence, the oxide lattice is at a lower oxidation state and therefore less reactive and less likely to pose a significant safety risk.

Nevertheless, $\text{LiNi}_x\text{Co}_y\text{O}_2$ compounds still pose the risk of decomposing on severe overcharge. Such decomposition reaction is accompanied by a release of oxygen and energy which increases the cell's internal temperature and pressure, and thereby increases the risk of igniting the electrolyte. Obviously, designs that avoid these potential problems will be of significant commercial importance.

Note that the lithium cobalt oxide and lithium nickel oxide also may undergo a decomposition reaction on overcharge. Generally, it is known that LiCoO_2 , LiNiO_2 and LiMn_2O_4 have varying degrees of thermal stability in their delithiated forms (see, e.g., J.R.Dahn et al., Solid State Ionics 69 (1994) 265-270). For example, it is known that the layered compound $\text{LiNi}_{0.5}\text{O}_2$ is transformed to the spinel LiNi_2O_4 on heating to above 200° C. This transformation is accompanied by little mass loss or heat generation. In contrast, at higher degrees of delithiation (e.g., $\text{Li}_{0.3}\text{NiO}_2$), the transformation to spinel is also accompanied by significant oxygen generation and heat liberation. Delithiated LiCoO_2 does not undergo a transformation to spinel form, but rather decomposes to layered LiCoO_2 and stable Co_3O_4 at about 245 °C. Some oxygen is also released in this reaction.

Still other problems remain in many lithium metal oxide positive electrodes. For example, many lithium metal oxides (e.g., LiMn_2O_4 , LiCoO_2 , LiNiO_2 , and some atomic mixtures of Mn, Co, and Ni oxides) have substantially flat discharge profiles. That is, their

voltage varies only slightly with state of charge until very nearly all of their capacity has been exhausted. Thus, from full charge until nearly complete discharge (during which time most available lithium enters the positive electrode), the electrode voltage remains high and nearly constant. Only when most available lithium has been extracted from positive electrode (at the end of discharge) does it exhibit a characteristic sharp drop in voltage. While such discharge characteristics provide high and relatively constant potentials during most of discharge, they can cause cells to perform poorly at high rates of discharge. This results because ohmically caused variations of potential within the electrode can not be compensated by variations in the reaction rate. Thus, the electrode material is under-utilized at any given state of discharge, thereby limiting the rate, energy, and cycling performance of cells, as discussed in T. Fuller et al. J. Electrochem. Soc., 1, 114 (1994), incorporated herein by reference for all purposes.

In view of the above, there is a need for improved lithium insertion positive electrode materials which have substantially matched formation and reversible cycling capacities, resist decomposition on overcharge, and have sloping discharge profiles.

SUMMARY OF THE INVENTION

The present invention provides improved positive electrode materials containing compounds of the following formula: $\text{Li}_x\text{Ni}_y\text{Co}_z\text{M}_n\text{O}_2$, where M is selected from the group consisting of aluminum, titanium, tungsten, chromium, molybdenum, magnesium, tantalum, silicon, and combinations thereof, x is between about 0 and about 1 and can be varied within this range by electrochemical insertion and extraction, the sum of $y+z+n$ is about 1, n ranges between above 0 to about 0.25, y and z are both greater than 0, and the ratio z/y ranges from above 0 to about 1/3. Preferably, the compound has an $\alpha\text{-NaCrO}_2$ crystal structure.

These materials have the advantage of maintaining capacity better than lithium nickel oxide on repeated cycling. They also have the advantage of being relatively safe in comparison to lithium nickel oxide, lithium cobalt oxide, and lithium nickel cobalt oxide. It is believed that their conductivity is reduced on overcharge (i.e., at low lithium contents), thereby preventing current from continuing to drive detrimental overcharge reactions.

The lithium nickel cobalt metal oxides of this invention may be prepared by various routes. In one preferred embodiment, they are prepared as follows: combining (i) a lithium composition including at least one of lithium carbonate, lithium hydroxide, lithium acetate, and lithium nitrate, (ii) at least one of a metal hydroxide, a metal oxide, an elemental metal, or a metal carbonate containing M, (iii) a cobalt composition including at least one of cobalt oxide, cobalt hydroxide, cobalt carbonate, cobalt acetate, and cobalt nitrate, and (iv) a nickel

composition including at least one of nickel hydroxide, nickel carbonate, nickel acetate, and nickel nitrate; and (b) thermally reacting the combination of (i), (ii), (iii) and (iv) at a temperature of between about 500 and 1300 °C, more preferably at about 600 to 1000 °C, and most preferably at about 750 to 850 °C.

5 The compounds of this invention may be used in mixture with one or more other compounds to form composite positive electrodes having high capacity, long cycle life, and good safety. Preferably the mixture includes a lithium manganese oxide compound in addition to the lithium nickel cobalt metal oxide. More preferably, the lithium manganese oxide compound is lithium manganese oxide (LiMn_2O_4) or a lithium manganese metal oxide
10 such as $\text{LiMn}_{2-r}\text{M1}_r\text{O}_4$, where M1 is tungsten, titanium, chromium, nickel, cobalt, iron, tin, zinc, zirconium, silicon, or a combination thereof, and r ranges between about 0 and 1. Preferably, r ranges from about 0 to 0.08. In a particularly preferred embodiment, the mixture includes one of the above two listed manganese compounds together with a lithium nickel cobalt aluminum oxide (e.g., $\text{LiNi}_{0.6}\text{Co}_{0.15}\text{Al}_{0.25}\text{O}_2$).

15 In a related embodiment, the positive electrode includes a mixture of lithium nickel cobalt oxide (containing no aluminum or other non-lithium metal) together with $\text{LiMn}_{2-r}\text{M1}_r\text{O}_4$.

Yet another aspect of the invention provides a lithium ion cell which may be characterized as including (a) a cell container; (b) a negative electrode provided within the cell
20 container and capable of intercalating lithium during charge and deintercalating lithium during discharge; (c) an electrolyte conductive to lithium ions and provided within the cell container; and (d) a positive electrode provided within the cell container and capable of taking up lithium on discharge and releasing lithium on charge. The positive electrode includes a compound or mixture of compounds as described above. Preferably the negative electrodes in such cells
25 include a mixture of homogeneous graphitic carbon particles and homogeneous non-graphitic carbon particles. When such mixed carbon negative electrodes are employed, it will be particularly desirable to employ a positive electrode of lithium nickel cobalt oxide admixed with lithium manganese metal oxide.

Further, the electrolytes in cells of this invention preferably include a mixture of
30 ethylene carbonate, diethyl carbonate, and dimethyl carbonate with a dissolved lithium containing salt such as at least about 0.8 molar LiPF_6 or $\text{LiN}(\text{SO}_2\text{C}_2\text{F}_5)_2$. In an especially preferred embodiment, the electrolyte includes about 1.39 molar LiPF_6 . Other electrolytes may include one of the following solvent mixtures: (1) ethylene carbonate, ethyl methyl carbonate, and dimethyl carbonate; (2) ethylene carbonate, ethyl methyl carbonate, and diethyl

carbonate; and (3) ethylene carbonate, ethyl methyl carbonate, diethyl carbonate, and dimethyl carbonate. The electrolyte may also include a polymer or gelling agent.

These and other features of the present invention will be presented in more detail in the following specification of the invention and in the figures.

5

BRIEF DESCRIPTION OF THE DRAWING

Figure 1 is an illustration of a lithium ion cell in accordance with one embodiment of the present invention.

Figure 2 is a graph providing two discharge curves: one for Lithium Nickel Oxide
10 (LiNiO₂) and one for Lithium Nickel Aluminum Oxide (LiNi_{0.75}Al_{0.25}O₂), each discharged at approximately an 8 hour rate. The counter electrode was lithium metal. The material was first charged to a maximum voltage of 4.2V (vs. Li) for a total of 12 hours.

Figure 3 is a graph providing two discharge curves: Lithium Nickel Cobalt Oxide
15 (LiNi_{0.81}Co_{0.19}O₂) and Lithium Nickel Cobalt Aluminum Oxide (LiNi_{0.66}Co_{0.14}Al_{0.20}O₂), each discharged at approximately an 8 hour rate. The counter electrode was lithium metal. The material was first charged to a maximum voltage of 4.2V (vs. Li) for a total of 12 hours..

Figure 4 is a graph providing two discharge curves: Lithium Nickel Aluminum Oxide
20 (LiNi_{0.75}Al_{0.25}O₂) and Lithium Nickel Cobalt Aluminum Oxide (LiNi_{0.66}Co_{0.14}Al_{0.20}O₂), each discharged at approximately an 8 hour rate. The counter electrode was lithium metal. The material was first charged to a maximum voltage of 4.2V (vs. Li) for a total of 12 hours.

Figure 5 is a graph providing discharge curves for Lithium Manganese Oxide
25 (spinel) of three types: LiMn₂O₄ (stoichiometric), LiMn_{1.05}Mn₂O₄ (derived from excess lithium) and LiMn_{1.995}Cr_{0.005}O₂ (chromium doped). The discharge rate was approximately 8 hour rate. The counter electrode was lithium metal. The material was first charged to a maximum voltage of 4.2V (vs. Li) for a total of 12 hours.

Figure 6 is a discharge curve of 50/50 by weight physical mixture of Lithium Nickel
30 Cobalt Oxide (LiNi_{0.81}Co_{0.19}O₂) and Lithium Manganese Oxide (LiMn₂O₄) discharged at approximately an 8 hour rate. The counter electrode is lithium metal. The material was first charged to a maximum voltage of 4.2V (vs. Li) for a total of 12 hours.

Figure 7 is a graph presenting charge curves for a number of metal oxides. The materials were first charged to a maximum voltage of 4.2V (vs. Li) for a total of 12 hours, then discharged to 2.5V at approximately and 8 hour rate prior to recharging as shown. The rate of charge was such that that the time to reach 4.2V was approximately 8 hours in all examples. The materials were (1) $\text{LiMn}_{1.996}\text{Cr}_{0.005}\text{O}_2$, (2) a 50/50 by weight physical mixture of $\text{LiMn}_{1.996}\text{Cr}_{0.005}\text{O}_2$ and $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$, (3) $\text{LiNi}_{0.66}\text{Co}_{0.14}\text{Al}_{0.20}\text{O}_2$, (4) $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$, (5) $\text{LiNi}_{0.75}\text{Al}_{0.25}\text{O}_2$ and (6) LiNiO_2 .

Figure 8 is a graph of the data from Figure 6, represented with an expanded scale to demonstrate the differences between materials 3,4,5, and 6 at high voltages.

Figure 9 is a graph showing the differential charging capacity (mAh/gm/V) as a function of voltage (vs. Li) of $\text{Li}_{1.05}\text{Mn}_{1.995}\text{Cr}_{0.005}\text{O}_2$. The rate of charge was such that the time to reach 4.2V was approximately 8 hours.

Figure 10 is a graph showing the differential charging capacity as a function of voltage (vs. Li) of LiNiO_2 . The rate of charge was such that the time to reach 4.2V was approximately 8 hours.

Figure 11 is a graph showing the differential charging capacity as a function of voltage (vs. Li) of $\text{LiNi}_{0.75}\text{Al}_{0.25}\text{O}_2$. The rate of charge was such that the time to reach 4.2V was approximately 8 hours.

Figure 12 is a graph showing the differential charging capacity as a function of voltage (vs. Li) of $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$. The rate of charge was such that the time to reach 4.2V was approximately 8 hours.

Figure 13 is a graph showing the differential charging capacity as a function of voltage (vs. Li) for a 50/50 physical mixture by weight of $\text{Li}_{1.05}\text{Mn}_{1.995}\text{Cr}_{0.005}\text{O}_2$ and $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$. The rate of charge was such that the time to reach 4.2V was approximately 8 hours.

Figure 14 is a graph showing the differential charging capacity as a function of voltage (vs. Li) of $\text{LiNi}_{0.66}\text{Co}_{0.14}\text{Al}_{0.20}\text{O}_2$. The rate of charge was such that the time to reach 4.2V was approximately 8 hours.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

1. Lithium Metal Oxides Containing Nickel, Cobalt, and a Third Metal

The present invention provides a composition of matter including a chemical compound of the formula $\text{Li}_x\text{Ni}_y\text{Co}_z\text{M}_n\text{O}_2$, where M is selected from the group consisting of aluminum, titanium, tungsten, chromium, molybdenum, magnesium, tantalum, silicon, and combinations thereof, x is between about 0 and about 1 and can be varied within this range by electrochemical insertion and extraction, the sum of $y+z+n$ is about 1, n ranges between above 0 to about 0.25, y and z are both greater than 0, and the ratio z/y ranges from above 0 to about 1/3. Note that if M is not a metal with a valence state of +3 (e.g., magnesium or silicon), the sum of $y+z+n$ may deviate somewhat from 1. For metals of valence +2 such as magnesium, the sum will be slightly larger than 1 in order to balance the -4 charge on the two oxygen atoms. And for metals of valence +4 such as silicon, the sum will be slightly less than 1.

Generally, these compounds have the layered structure $\alpha\text{-NaCrO}_2$ crystals. Thus, lithium ions can move through the lattice rapidly, along the lattice's planes. This structure is also found in LiCoO_2 and LiNiO_2 . However, LiMn_2O_4 spinel has a substantially different structure.

Generally, these compounds have a significantly greater variation in potential with state of charge (i.e., sloping discharge profile) than the corresponding simple oxides, particularly LiCoO_2 . The nickel imparts a very high capacity to these compounds. Depending upon the relative amounts of cobalt and other metals in the compound, any given compound may have a reversible capacity in the neighborhood of 100 to 190 mAh/gm. For comparison, LiCoO_2 has a capacity of about 139 mAh/gm and LiMn_2O_4 has a capacity of about 110 mAh/gm.

The presence of cobalt appears to improve the compound's stability by holding the other transition metal atoms, especially nickel, in place within the lattice.

It is known that the transition metal atoms of some lithium transition metal oxides are relatively mobile. For example, in the cases of LiNiO_2 and LiMn_2O_4 , the transition metal atoms may be easily moved from their normal lattice sites to lithium sites. This mobility is evident in the compounds both as synthesized and during electrochemical cycling. When the transition metal atoms are actually displaced, electrodes made from these materials can exhibit capacity fading, voltage reduction, and metal dissolution (in the case of the manganese compound). For LiNiO_2 , the metal atoms are close enough in size to lithium to move into the

layer planes normally reserved for lithium. It is believed that synthesizing LiNiO_2 under oxidizing conditions drives the nickel atoms into their appropriate lattice locations and suppresses the transformation to the spinel form. Nevertheless, the nickel atoms can become dislodged during subsequent electrochemical cycling. For LiMn_2O_4 , transposition of manganese from its octahedral sites in the spinel structure is believed to cause poor cycling.

In LiMn_2O_4 , the mobility problem can be mitigated by (1) synthesizing the compound under conditions providing excess lithium (e.g., in the form of from 3-10 % excess LiCO_3) or (2) substituting certain transition metals for manganese (e.g., providing 0.5 to 8 mole % chromium or cobalt in place of manganese). These modifications have been found to reduce the ratio of charge to discharge on the first cycle, and unfortunately also reduce reversible capacity.

Substitution of up to 25 mole percent cobalt for nickel in LiNiO_2 provides intermetallic lithium metal oxides which are easier to synthesize (versus LiNiO_2), retain the high reversible capacity of LiNiO_2 , improved cycleability, and show a substantial reduction in irreversible capacity loss on first charge. The addition of cobalt to the nickel compound also reduces the amount of oxygen released and the heat of reaction on overcharge, thereby providing additional safety over that of stoichiometric LiNiO_2 . Still further, this addition substantially reduces the charge/discharge ratio of the compound thereby lowering the average oxidation state of the material, and making the cell an anode limited cell after formation (in contrast to the excess lithium available from the LiNiO_2 above that needed by the carbon's first charge).

In view of the advantages that can be attained by adding cobalt as described in two-component mixed oxides, cobalt is also employed in the three-component mixed oxides of the present invention.

The presence of the third metal (M) in the lattice may further improve cell safety on overcharge. As mentioned, the lithium metal oxides, particularly lithium nickel oxide, may undergo exothermic decomposition with the release of oxygen on overcharge. This and other deleterious overcharge reactions depend upon the continued conductivity of the positive electrode. If the lithium metal oxide compound were to become non-conductive in a highly delithiated state, the damage from overcharge could be reduced -- particularly by preventing the exothermic degradation of the material.

The aluminum, titanium, and tungsten containing compounds of this invention (i.e., $\text{Li}_x\text{Ni}_y\text{Co}_z\text{Al}_n\text{O}_2$, $\text{Li}_x\text{Ni}_y\text{Co}_z\text{Ti}_n\text{O}_2$, and $\text{Li}_x\text{Ni}_y\text{Co}_z\text{W}_n\text{O}_2$) are believed to sharply decrease

in conductivity when they become highly delithiated (on overcharge). During normal cell operation (at lithium stoichiometries between above 0 and up to about 0.75), these compounds have good conductivity. However, on overcharge when the lithium stoichiometry approaches 0, it is believed that conductivity drops off markedly. Thus, the overcharge reaction is slowed or stopped before dangerous conditions arise within the cell. This behavior has been observed in lithium nickel aluminum oxides. See "Synthesis of $\text{LiAl}_{1/4}\text{Ni}_{3/4}\text{O}_2$ for Lithium Ion Batteries", T. Ohzuku et al., J. Electrochem. Soc. 142 (1995) pg. 4033. This article is incorporated herein by reference for all purposes.

Note that $\text{LiNi}_y\text{Al}_{1-y}\text{O}_2$ and related compounds do not undergo a significant exothermic decomposition reaction. Thus, the addition of aluminum, titanium, and tungsten to a nickel cobalt oxide parent compound can be expected to reduce or eliminate the heat of decomposition associated with the LiNiO_2 compound. This represents a further safety improvement of the three metal $\text{Li}_x\text{Ni}_y\text{Co}_z\text{M}_n\text{O}_2$ compounds of this invention.

It should be understood that some lithium oxides having four or more other metals are also within the scope of this invention. Such compounds have the general formula, $\text{Li}_x\text{Ni}_y\text{Co}_z(\text{M}_n\text{M}_{1n1}\text{M}_{2n2}\text{M}_{3n3} \dots)\text{O}_2$, where the ratio of y/z is again not less than 3/1, the sum $y+z+n+n1+n2+n3+ \dots nn$ is about equal to 1, and the sum $n+n1+n2+n3+ \dots nn$ is not greater than about 0.25. One such class of compounds is $\text{Li}_x\text{Ni}_y\text{Co}_z\text{Al}_n\text{Mn}_{n1}\text{O}_2$. A specific preferred compound is $\text{LiNi}_{0.60}\text{Co}_{0.15}\text{Al}_{0.10}\text{Cr}_{0.10}\text{Mn}_{0.05}\text{O}_2$.

The positive electrodes of this invention preferably include the following components in addition to the lithium nickel cobalt metal oxides described above: a binder, an electronic conductor, a material that controls the acidity/basicity of the electrolyte solution (i.e., a buffer), and in some cases a material which protects against corrosion. Preferably, the resulting positive electrode material is provided as a film affixed to a current collector such as an aluminum foil or expanded metal.

2. Composite Electrode Compositions

While the above-described modifications to $\text{LiNi}_y\text{Co}_z\text{O}_2$ compounds offer improved thermal stability, cycling, and first cycle charge/discharge ratios, in certain cell designs further chemical modifications to the positive electrode design may be desirable to assure a safe cell design. This is particularly relevant in those electrode designs with relatively low surface area to volume ratios -- such as large cells or cylindrical cells with relatively large diameters -- where the danger of a run away reaction is greater. To address this issue, one aspect of the present invention provides composite electrodes

Composite positive electrodes of this invention include a "mixture" of lithium nickel cobalt metal oxides and one or more other chemically-homogeneous electrochemically-active positive electrode materials. The lithium nickel cobalt metal oxide has the formula $\text{Li}_x\text{Ni}_y\text{Co}_z\text{M}_n\text{O}_2$, where M is selected from the group consisting of aluminum, titanium, tungsten, chromium, molybdenum, magnesium, tantalum, silicon, and combinations thereof, x is between about 0 and about 1 and can be varied within this range by electrochemical insertion and extraction, the sum of $y+z+n$ is about 1, n ranges between 0 to about 0.25, y is greater than 0, at least one of z and n is greater than zero, and the ratio z/y ranges from above 0 to about 1/3. This covers three important classes of materials: (i) $\text{Li}_x\text{Ni}_y\text{Co}_z\text{M}_n\text{O}_2$, where M, x, y, z, and n have been defined above in the pure component case, (ii) $\text{Li}_x\text{Ni}_y\text{Co}_z\text{O}_2$, where x, y, and z have been defined above, with $y+z$ equaling about 1 because no M component is present, and (iii) $\text{Li}_x\text{Ni}_y\text{M}_n\text{O}_2$, where x, y, and n have been defined above, with $y+n$ equaling about 1 because no cobalt is present.

The term "mixture" is used herein in the sense commonly employed in the chemical arts. Thus, a mixture of oxides in accordance with this invention is composed of distinct chemical species, and, in theory, can be separated by physical means. Typically, the mixtures of this invention will include "particles" of a first chemically distinct positive electrode material interspersed with "particles" of a second chemically distinct positive electrode material. However, other forms are possible, so long as there are sharp phase boundaries between the distinct component materials. Various forms of both constituent positive electrode materials may be employed in the electrodes of this invention. Such particles may each assume various shapes such as fibers, plates, spheres, crystallites, etc. In each case, the morphology of the particle may be somewhat smooth, rough, jagged, porous, fractious, etc. Still further, the size of the particles may vary widely from dust at one extreme to large continuous structures at the other extreme. In the latter case, the material making up the large structure will be interspersed with smaller particles of the material making up other component. Preferably, the particle size is between about 2 and 60 μm and more preferably between about 4 and 40 μm .

Preferably, at least one of the positive electrode materials (besides the lithium nickel cobalt metal oxide) is a lithium manganese oxide compound. More preferably, the lithium manganese oxide compound is lithium manganese oxide (LiMn_2O_4) or a lithium manganese metal oxide such as $\text{Li}_x\text{Mn}_{2-r}\text{M1}_r\text{O}_4$, where M1 is tungsten, titanium, chromium, nickel, cobalt, iron, tin, zinc, zirconium, or silicon, x is as defined above, and r ranges between about 0 and 1. Preferably, r ranges between about 0 and 0.08. It should be understood that the value of x may frequently exceed 1. This reduces the likelihood that the manganese-oxygen lattice will rearrange on synthesis and cycling. Thus, lithium manganese oxide

compounds are frequently synthesized with a slight excess of lithium to produce compounds such as $\text{Li}_{1.05}\text{Mn}_{2-x}\text{Cr}_x\text{O}_4$.

5 Lithium manganese compounds are preferred because they have high thermal stabilities, thereby providing electrodes having a high degree of safety, while still maintaining a high capacity and energy. The acceptable ratios of oxide components in the composite electrodes of this invention primarily depend on results of abuse testing for a particular cell design. Such testing may include subjecting the cell to continued overcharge, or a high temperature environment (e.g., 150 °C). The amount of each component in the mixture therefore could range from about 0 to 100%, depending on the actual cell design and
10 compounds used. This engineering trade-off of maximum energy and capacity while maintaining safety must be determined on a design-by-design basis, and while the tests and calculations are straightforward, the trade-off does not limit the scope of this invention.

LiMn_2O_4 spinel and its isomorphic compounds are significantly safer on overcharge and at high temperatures than either LiNiO_2 or LiCoO_2 (both of which have a layered
15 structure) because the delithiated compound simply decomposes from $\lambda\text{-MnO}_2$ (the delithiated analog of LiMn_2O_4) to $\epsilon\text{-MnO}_2$. This structural rearrangement releases little energy and no oxygen. Thus, overcharged LiMn_2O_4 electrodes normally will not undergo a dangerous decomposition reaction. And even if the delithiated electrode is subjected to moderately high temperatures during overcharge, it will still resist further decomposition.
20 Only at temperatures over 400° C will the $\epsilon\text{-MnO}_2$ decompose to Mn_2O_3 , with release of oxygen.

In a particularly preferred embodiment, the mixture includes one of the above two listed manganese compounds together with a lithium nickel cobalt oxide or a lithium nickel cobalt aluminum oxide (i.e., M is aluminum in the above formula for lithium nickel cobalt
25 metal oxides). An example of one preferred lithium nickel cobalt oxide is $\text{LiNi}_{0.75}\text{Co}_{0.25}\text{O}_2$. An example of one preferred aluminum compound is $\text{LiNi}_{0.6}\text{Co}_{0.15}\text{Al}_{0.25}\text{O}_2$. Such mixtures have the advantage of good cycling performance, relatively high capacity, and low cost. The genesis of these properties is illustrated in Table 1.

TABLE 1 - Approximate Performance Criteria of Oxide Positive Electrodes

	Ni/Co/Al	Mn/Cr	LiCoO ₂	LiNiO ₂
Capacity mAh/gm	145-190	110	139	185
Cycling Stability	good	low to fair	excellent	fair
Safety	fair-good	excellent	good	poor
Cost	moderate	low	high	moderate

- 5 The mixture takes advantage of the excellent safety characteristics and very low cost of manganese or manganese/chromium compounds and the high capacity and cycling stability of lithium nickel cobalt aluminum oxides. Note that the lithium nickel cobalt aluminum oxides also possess good safety characteristics especially with significant aluminum concentration. While the cycling stability of stoichiometric LiMn₂O₄ (of high capacity) is not particularly good, LiMn_{2-r}M_{1r}O₄ and Li_{1.05}Mn₂O₄ often have significantly better cycling performance. Thus, in so far as cycling performance is a concern, it may be preferable to employ LiMn_{2-r}M_{1r}O₄ or Li_{1.05}Mn₂O₄.

- The capacity of the Li_xNi_yCo_zAl_nO₂ improves as aluminum content decreases. Thus, some preferred embodiments employ Li_xNi_yCo_zO₂. However, some aluminum content may be desirable to provide additional safety on overcharge and at high temperature. Remember that the presence of aluminum in the compound likely reduces conductivity on overcharge, thereby reducing overcharge current that could cause detrimental results.

- Assuming that the first cycle charge/discharge ratios of the positive electrode components do not match that of the negative electrode, it may be desirable to chose relative amounts of the two or more positive electrode materials to provide an "overall" blended first cycle charge/discharge ratio that matches the ratio for the negative electrode. That is, the relative amounts of the two or more positive electrode components are selected such that the total mass of positive electrode material required to form the negative electrode is about equal to the mass of positive electrode material required to reversibly discharge the negative electrode. This optimizes the cell's energy density by utilizing substantially all of the negative

electrode and positive electrode materials in each cell cycle. In other words, the cell is neither cathode nor anode limited.

5 The relative amounts of the two or more materials may be determined empirically or through analysis. In the simplest case, the first cycle charge/discharge ratio is considered to vary linearly with mass fraction of component positive electrode materials. Further details of this approach may be found in US Patent Application Serial No. 08/493,715, filed July 22, 1995, naming Mayer as inventor and entitled: "NONAQUEOUS ELECTROLYTE SECONDARY BATTERY" (incorporated herein by reference for all purposes).

10 Obviously, an important design consideration is selecting relative amounts of the two or more positive electrode materials such that the positive and negative electrode first cycle ratios are substantially the same. Generally, any mixture which brings these ratios closer together in comparison to the unmixed materials is desirable. For many composite positive electrode materials, the mixture will be chosen such that the electrode ratios are preferably within about 5% of one another, and more preferably within about 1% of one another.

15 Table 2 presents some example data of the type that may be useful in determining how much of each positive electrode material to use in a composite positive electrode of this invention. The goal would be to obtain a mixture of two or more positive electrode materials having a first cycle ratio that comes close to that ratio for the carbon anode. Thus, if one positive electrode component has a ratio higher than the negative electrode ratio, then it may
20 be desirable to choose a second component with a ratio lower than the negative electrode ratio. Note that in the examples in Table 2, both the LiMn_2O_4 and the $\text{LiNi}_{0.8}\text{Co}_{0.2}\text{O}_2$ have ratios (1.09 and 1.07 respectively) that are lower than ratio of the carbon anode example (1.15). Thus, blends of these positive electrode materials would not match the negative electrode ratio. However, some $\text{Li}_x\text{Ni}_y\text{Co}_z\text{M}_n\text{O}_2$ compounds of this invention will have ratios higher
25 than that of the negative electrode. Also, some negative electrodes will have ratios lower than the 1.15 provided in Table 2. Therefore, it will often be possible to blend the two components to obtain matched ratios.

TABLE 2

Electrode	1st Cycle Charge Capacity (mAh/gm)	1st Cycle Discharge Capacity (mAh/gm)	1st Cycle Ratio	Material Cost	Thermal Stability
LiCoO ₂	147	139	1.06	High	Good
LiNiO ₂	225	185	1.21	Moderate	Poor
LiMn ₂ O ₄	120	110	1.09	Low	Excellent
LiNi _{0.8} Co _{0.2} O ₂	193	180	1.07	Moderate	Fair
Carbon Anode	368	320	1.15	Low	Excellent

The data in Table 2 was obtained for (1) a commercially supplied LiCoO₂ from Westaim Corporation of Fort Sashatchewan, Alberta Canada, (2) high quality LiNiO₂ synthesized under an oxygen atmosphere at 750 °C for 24 hours from LiNO₃ and NiO, (3) LiMn₂O₄ synthesized from LiCO₃ and MnO₂ (5% molar excess lithium) in air at 600 °C for 24 Hours, and (4) LiNi_{0.8}Co_{0.2}O₂ synthesized from LiNO₃, NiO, and Co₃O₄ in oxygen at 775 °C for 24 hours. The anode data is representative of a typical mixed graphite/non-graphitic anode of the type described hereinafter. The first cycle capacity data is based upon cells charged to 4.2 volts.

Regardless of the chemical composition of the positive electrode mixture components, the component particles preferably have an average diameter of less than about 50 µm. The electrochemically active oxide particles of the mixtures may be formed into electrodes by combining with the other materials mentioned above: a binder, an electronic conductor, a material that controls the acidity/basicity of the electrolyte solution, and a material which protects against corrosion. The resulting material may be applied to or formed on a current collector.

3. Methods of Making Positive Electrodes

Compounds having the formula $\text{Li}_x\text{Ni}_y\text{Co}_z\text{M}_n\text{O}_2$ as described above may be prepared by solid state high temperature reactions as follows. A specified lithium containing compound is combined with a specified "metal" (e.g., aluminum) containing compound, a specified cobalt containing compound, and a specified nickel containing compound. The various components are well mixed and then thermally reacted at a temperature of between about 500 and 1300 °C. For many compounds, the reaction is more preferably conducted at a temperature of between about 600 and 1000 °C and most preferably at a temperature of between about 750 and 850 °C. In addition, the reaction is preferably carried out in an atmosphere of flowing air or, more preferably, flowing oxygen.

The specified lithium containing compound may be any one or more of lithium nitrate (LiNO_3), lithium hydroxide (LiOH), lithium acetate (LiO_2CCH_3), and lithium carbonate (Li_2CO_3), for example. The specified cobalt containing compound may be any one or more of cobalt metal, cobalt oxide (Co_3O_4 or CoO), cobalt carbonate (CoCO_3), cobalt nitrate ($\text{Co}(\text{NO}_3)_2$), cobalt hydroxide ($\text{Co}(\text{OH})_2$), and cobalt acetate ($\text{Co}(\text{O}_2\text{CCH}_3)_2$), for example. The nickel containing compound may be any one or more of nickel metal, nickel oxide (NiO), nickel carbonate (NiCO_3), nickel acetate ($\text{Ni}(\text{O}_2\text{CCH}_3)_2$), and nickel hydroxide ($\text{Ni}(\text{OH})_2$), for example. If the metal containing compound is to provide aluminum, it may be any one or more of aluminum hydroxide ($\text{Al}(\text{OH})_3$), aluminum oxide (Al_2O_3), aluminum carbonate ($\text{Al}_2(\text{CO}_3)_3$), and aluminum metal, for example. Other metal containing materials may be employed to provide non-aluminum containing materials such as molybdenum oxide (MoO_3), titanium oxide (TiO_2), tungsten oxide (WO_2), chromium metal, chromium oxide (CrO_3 or Cr_2O_3), magnesium oxide (MgO), tantalum oxide (Ta_2O_4 or Ta_2O_5), etc.

A similar synthesis technique for forming a lithium aluminum nickel oxide is described in "Synthesis and Characterization of $\text{LiAl}_{0.25}\text{Ni}_{0.75}\text{O}_2$ (R3M) for Lithium-Ion (Shuttlecock) Batteries", J. Electrochem. Soc., Vol. 142, No. 12, p. 4033 (1995). This reference was previously incorporated herein by reference for all purposes.

An alternative synthesis technique involves combining the simple lithium oxides of the metals forming the final compound. This process involves combining lithium cobalt oxide, lithium nickel oxide, and a lithium metal oxide of the formula LiMO_2 . M is preferably, aluminum, chromium, or titanium. To form $\text{LiNi}_{0.6}\text{Co}_{0.15}\text{Al}_{0.25}\text{O}_2$, for example, LiNiO_2 , LiCoO_2 , and LiAlO_2 could be mixed in a 60:15:25 molar ratio. The resulting mixture is then reacted at high temperature (e.g., the temperature ranges presented above for first process).

The oxides employed in positive electrodes of this invention preferably have average particle diameters of not greater than about 50 μm , and more preferably between about 1 and 20 μm . If necessary, the oxide materials may be ground and sieved, as with a hammer mill, ball mill, or attritive mill, to achieve the desired particle sizes. Often it will be enough to

5 simply remove the particles that are larger than the upper end of the desired range. Positive electrodes may be prepared from the positive electrode materials by any suitable method. In preferred embodiments, the positive electrode material components are first mixed, if used in a composite electrode, and then combined with one or more of the following additives: a binder, an electronic conductor, an additive to prevent corrosion of cell metal

10 components and a material to control the acidity or basicity of the electrolyte. Suitable binders are substantially unreactive and insoluble in a cell's electrolyte at the voltages which the positive electrode experiences within the cell. Such binders include polyvinylidene difluoride ("PVDF"), polytetrafluoroethylene ("PTFE"), and ethylene propylene diene monomer ("EDPM"). Suitable electronic conductors include carbon and electronically conducting

15 polymers. In a preferred embodiment the electronic conductor is a mixture of graphitic and non-graphitic carbons having in the range of about 95 to 50 wt % graphite, and more preferably in the range of about 65 to 80 wt % graphite. Suitable corrosion preventing additives include aluminum oxide. And suitable buffers include lithium carbonate.

The above described positive electrode components can be formed into a positive

20 electrode by various techniques. In especially preferred embodiments, the binder is provided in a solvent. By way of example, suitable solvents include cyclohexane with ethylene propylene diene monomer (EPDM), dimethyl formamide (DMF) or dimethyl acetamide (DMA) with polyvinylidene difluoride (PVDF), and a water dispersion of

25 polytetrafluoroethylene (e.g., TEFLON® 35 or TEFLON® 60 available from DuPont Corporation, Wilmington, DE). Initially, all solid components of the cell -- including lithium metal oxide(s), electronic conductors, etc. -- are mixed. Next, they are formed in a slurry using a solution of the binder. In one relatively simple process, the positive electrode slurry is applied to a metal support which acts as a current collector for the completed electrode. Preferably, the slurry is first applied as a thin film onto a metal foil substrate (the current

30 collector), the solvent of the slurry is then evaporated, the temperature of the composite is then heated to the melting point of the polymer binder, allowed to cool, and finally the composite is compressed onto the foil (e.g., by using a compression roller). The resulting structure is then simply sized for use in an electrochemical cell, and optionally preprocessed in another manner to provide the desired physical-chemical properties of an electrode. Such

35 procedures are well known to those in the skill of the art.

In a further preferred embodiment, the positive electrode is reheated after the compression step to allow the polymer binder to melt a second time. The composite may then

compressed onto the foil a second time (e.g., by using a compression roller). This gives the finished electrode which may cut into sizes necessary for testing or cell assembly.

Various current collectors may be employed with the electrodes of the present invention. Preferably, the current collector is a metal foil, metal screen, or an expanded metal screen (e.g., "Exmet"™). If the current collector is a foil, adhesion of the composite positive electrode mixture to the current collector may be enhanced by roughening the current collector's surface. Suitable methods of roughening the surface include mechanical roughening (e.g., with steel wool), chemical etching, and electrochemically etching, as are all known in the art.

10

4. Cells Containing Composite Positive Electrodes

After the positive electrode has been prepared, it is assembled in a lithium intercalation cell. Typically, the cell will include (1) a cell container, (2) a composite positive electrode prepared as described above, (3) an intercalation negative electrode capable of reversibly taking up lithium on charge and releasing lithium on discharge, (4) an electrolyte conductive to lithium ions, and (5) a separator between the negative electrode and positive electrode.

15

Figure 1 shows a lithium ion cell 50 such as might employ positive electrodes of the present invention. The external surface of cell 50 includes a positive terminal 4, a negative terminal 58, and a cell can 60. A cell cap subassembly 2 is provided at the top of a cell can 60, and is electrically connected to the cell's positive electrode by conductive tab 30. The positive electrode together with the cell's negative electrode, separator, and electrolyte form a spiral roll 54. A spiral design includes a thin negative electrode sheet and a thin positive electrode sheet separated from one another by a thin separator sheet saturated with the electrolyte. The three sheets are wound together in a spiral. As shown, spiral 54 is provided within cell can 60, and is separated from cell cap subassembly 2 by an insulator 52. The negative electrode component of electrode spiral 54 is electrically connected to a negative terminal 58 by a negative terminal tab 56.

20

25

Conventional cell containers having venting capability may be used to fabricate cells from the positive electrodes of this invention. Those of skill in the art will recognize the required properties of a cell container. It should be sized to compactly hold the various cell components and should be made of materials that are impervious to and chemically resistant to the other cell components at operating cell potentials. Preferably, it will also include a cell cap subassembly designed to with an internal disconnect mechanism and a vent. Such subassemblies are described in US Patent No. _____ (US Serial No. 08/610,388) filed

30

March 4, 1996 and naming Kaschmitter et al. as inventors. That patent is incorporated herein by reference for all purposes.

The material used as the intercalation cell negative electrode should exhibit high capacity, good reversibility of lithium insertion, and a high average discharge voltage so as to achieve the largest possible energy of the cell. In addition, the material should exhibit a relatively low formation capacity. Such materials include, by way of example, graphitic carbons, non-graphitic carbons, and mixtures of graphitic and non-graphitic carbons. The latter are particularly preferred negative electrodes for use with this invention. They are described in some detail in US Patent Application No. 08/386,062, entitled

10 "NONAQUEOUS ELECTROLYTE SECONDARY BATTERY" and filed on February 7, 1995, and US Patent Application No. 08/____,____ (Attorney Docket No. POLYP012) entitled "MIXED CARBON NEGATIVE ELECTRODES FOR LITHIUM ION CELLS" and filed on November 25, 1996. Both applications name S. Mayer as inventor and are incorporated herein by reference for all purposes. Briefly, such composite negative electrodes include

15 mixtures of homogeneous graphitic carbon particles, homogeneous non-graphitic carbon particles, and binders as necessary. Such electrodes can be formulated to have high capacities, low electrode potentials, and other desirable properties of graphite, and, at the same time, have discharge profiles in which the electrode potential varies significantly with the degree of intercalation. Thus, lithium ion cells employing such negative electrodes will

20 perform well at high rates of discharge.

Preferred intercalation negative electrodes include at least about 25 mass percent homogeneous graphitic carbon particles, more preferably at least about 50 mass percent homogeneous graphitic carbon particles, and most preferably about 75 mass percent homogeneous graphitic carbon particles. Of course, the optimal ratios may vary quite a bit

25 depending upon the carbon constituents of the mixture and the desired properties of the electrode. It is generally desirable that the mixture result in electrodes having a potential which varies significantly with state of charge (state of deintercalation). Preferably, the mixture should be chosen such that the resulting electrode has an open circuit potential of that varies by at least about 0.25 volts from a fully charged state in which the electrode is fully

30 intercalated to a state of charge at about 90% deintercalation. For comparison, a pure graphite intercalation electrode generally varies by only about 180 mV during discharge.

The graphite used in this invention is preferably a high purity natural graphite or a synthetic graphite having a high degree of anisotropic particle structure similar to natural graphite and very good compressibility and electrical conductivity. Suitable graphite

35 includes, for example, SFG synthetic Graphites from Lonza Inc. of Fairlawn, NJ, Graphite KS (a round shaped particle) from Lonza, Graphite T (having a flake-shaped particle with higher surface area) also from Lonza, and grade B6-35 or 9035 from Superior Graphite Co.

of Chicago, Ill. Non-graphitic carbons of widely ranging properties may be employed in this invention. In general, the non-graphitic carbons should provide intercalation electrodes having sloping deintercalation profiles. Further, the non-graphitic carbons preferably have a highly anisotropic particle structure (e.g., fibrous or plate-shaped) and high actual density
5 (e.g., greater than about 2.1 gm/cc).

By providing both graphite and non-graphitic carbons having anisotropic particle morphologies, a rather high packing density can be obtained, thereby providing a high energy density.

An organic electrolyte for use in the cell may include any of various acceptable
10 solvents and salts. Suitable organic electrolyte solvents for use in intercalation cells include one or more of the following: propylene carbonate, ethylene carbonate, 1,2-dimethoxyethane, 1,2-diethoxyethane, γ -butyrolactone, tetrahydrofuran, 2-methyltetrahydrofuran, 1,3-dioxolan, 4-methyl 1,3-dioxolan, diethyl ether, sulfolane, acetonitrile, propionitrile, dimethyl carbonate, diethyl carbonate, anisole, methyl propionate, ethyl propionate, methyl acetate,
15 normal-propyl acetate, iso-propyl acetate, normal-butyl acetate, ethyl methyl carbonate, and mixtures or combinations thereof. Suitable electrolyte salts include one or more of the following: lithium bis-trifluoromethane sulfonimide or $\text{LiN}(\text{SO}_2\text{CF}_3)_2$ (available from 3M Corp. of Minnesota), $\text{LiN}(\text{SO}_2\text{C}_2\text{F}_5)_2$ (also available from 3M Corp.), LiAsF_6 , LiPF_6 , LiBF_4 , $\text{LiB}(\text{C}_6\text{H}_5)_4$, LiCl , LiBr , $\text{CH}_3\text{SO}_3\text{Li}$, and $\text{CF}_3\text{SO}_3\text{Li}$. In a preferred embodiment,
20 the electrolyte solvent includes one of the following mixtures: (1) ethylene carbonate, dimethyl carbonate, and diethyl carbonate; (2) ethylene carbonate, ethyl methyl carbonate, and dimethyl carbonate; (3) ethylene carbonate, ethyl methyl carbonate, and diethyl carbonate; and (4) ethylene carbonate, ethyl methyl carbonate, diethyl carbonate, and dimethyl carbonate. In a particularly preferred embodiment, the electrolyte includes between about 0.8 and 1.4 M
25 LiPF_6 and one of the four above mentioned solvent mixtures. Further description of preferred electrolyte systems may be found in US Patent Application No. 08/598,046, (Attorney Docket No. POLYP007) entitled "NONAQUEOUS ELECTROLYTE SECONDARY BATTERY", filed on February 7, 1996, and naming S. Mayer et al. as inventors. That application is incorporated herein by reference for all purposes. The total
30 concentration of LiPF_6 should not exceed the solubility limit of lithium in the solvent. Thus, the total concentrations of this salt as well as other will generally be maintained below about 1.5 M.

Various separators known and widely-used in the art may be employed in the cells of this invention. Two particularly preferred separator materials are Celgard 2300 and Celgard
35 2400 available from Hoechst Celanese of Dallas, Texas. These materials are thin and inert and resist puncture. It should be noted that the Celgard 2300 becomes non-conductive at

temperatures above about 120° C, thereby improving cell safety in response to possible cell internal short circuits which might be caused by puncture, crushing, etc.

5. Examples

5 Example 1: Synthesis of LiNiO_2

LiNiO_2 was prepared by combining 1.05 moles of vacuum dried LiNO_3 powder (100 C°, 4 hours) with 1.0 mole of NiO (i.e. a 5% lithium molar excess, 1.05/1.00 Li/Ni, was used). A good mix of the reactants was obtained by continuously rotating a plastic container containing the chemicals and some stainless steel balls on a machine at about 60 rpm for 10 hour. The resulting mixture was compressed into pellets in a press at 4500 lb/in². The pellets then were placed into an alumina crucible, and heated in a retort furnace I) first under a flowing argon atmosphere at 400 °C for 4 hours (to safely remove NO_2 and other gaseous products), followed by II) heating under a flowing oxygen atmosphere at 750 °C for 16 hours. The reacted pellets were then crushed, ground, and sieved to less than 63 μm , 15 following by a washing with deionized water and vacuum drying the powder (to remove any remaining water soluble reactants or unwanted products). Next, the powder was compressed into a pellet at 4500 lb/in², and heated a second time under flowing oxygen at 750 °C for 16 hours. The product was crushed, ground, and sieved to less than 32 μm . The regrinding and reheating process was performed to insure complete reaction of the reactants to form the 20 product.

Example 2: Synthesis of $\text{LiNi}_{0.75}\text{Al}_{0.25}\text{O}_2$

The method of manufacturing $\text{LiNi}_{0.75}\text{Al}_{0.25}\text{O}_2$ cathode powder was essentially the same as that of example 1, except that a molar ratio of 75/25 of Ni/Al was used from NiO and 25 $\text{Al}(\text{OH})_3$ reactants in place of 100% NiO.

Example 3: Synthesis of $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$

The method of manufacturing $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$ cathode powder was essentially the same as that of example 1, except that a molar ratio of 81/19 of Ni/Co was used from NiO 30 and Co_2O_3 reactants in place of 100% NiO.

Example 4: Synthesis of $\text{LiNi}_{0.66}\text{Co}_{0.14}\text{Al}_{0.20}\text{O}_2$

The method of manufacturing $\text{LiNi}_{0.66}\text{Co}_{0.14}\text{Al}_{0.20}\text{O}_2$ cathode powder was essentially the same as that of example 1, except that a molar ratio of 66/14/20 of Ni/Co/Al was used from NiO, Co_2O_3 , and $\text{Al}(\text{OH})_3$ reactants in place of 100% NiO.

Example 5: Synthesis of LiMn_2O_4

LiMn_2O_4 as prepared by combining 0.25 mole of vacuum dried Li_2CO_3 powder (100 °C, 4 hours) with one mole of battery grade MnO_2 (Aldrich Chemicals). This resulted in theoretical stoichiometric Li/Mn composition of 1.00/2.00. A good mix of the reactants was obtained by continuously rotating a plastic container containing the chemicals and some stainless steel balls on a machine at about 60 rpm for 1 hour. The resulting mixture was compressed into pellets in a press at 4500 lb/in². The pellets were placed into an alumina crucible, and heated first under a stagnant air at 675 °C for 24 hours. The reacted pellets were then crushed, ground, and sieved to less than 63 µm. Next, the powder was compressed into a pellet at 4500 lb/in², and heated a second time in air at 675 °C for 16 hours. The product was crushed, ground, and sieved to less than 32 µm. The regrinding and reheating process was performed to insure complete reaction of the reactants to form the product.

Example 6: Synthesis of $\text{Li}_{1.05}\text{Mn}_2\text{O}_4$

The method of manufacturing $\text{Li}_{1.05}\text{Mn}_2\text{O}_4$ was essentially the same as that of example 6, except that a molar ratio of 1.05/2.00 Li/Mn for the reactants was used.

Example 7: Synthesis of $\text{LiMn}_{1.995}\text{Cr}_{0.005}\text{O}_4$

The method of manufacturing $\text{LiMn}_{1.995}\text{Cr}_{0.005}\text{O}_4$ was essentially the same as that of example 6, except that a molar ratio of 0.005/1.995 Cr/Mn was used in place of Mn. Chromium metal powder (3 µm average particle size) was used as a reactant source of chromium.

Manufacture of Electrodes for Electrochemical Cell:

While several combinations of cathode materials, polymer binders, and solvents can be used to fabricate electrodes in this invention, all examples described below used a cathode prepared generally as follows. First, a binder solution, containing 10 gm of PVDF powder (Alt Atochem, Philadelphia, PA) in 100 cc of Dimethylformamide (DMF, DuPont Corp., Wilmington, DE) was made by mixing the powder and solvent while heating the materials at 50 °C until the polymer was dissolved. Next, 50 g of cathode oxide (either pure or a mixture as in the composite cathodes of this invention) was combined with 5.40 g of SFG-15 grade graphite (Lonza Corp, Fairlawn, NJ), and 2.10 g of carbon black (grade Vulcan XC-72R, Cabot Corp., of Billerica, MA) in a 250 cc polyethylene container. Next, 32.6 g of the binder solution was added to the solids. Finally, approximately 16.55 g of additional DMF was added to the mixture. Next stainless steel balls were added to the container, the container was sealed, and spun at about 60 rpm for four hours, at which point a uniform slurry "paint" was obtained. A 150 µm thick layer of paint was coated onto a 25 µm thick aluminum foil using a reverse-roll laboratory scale coating apparatus. The apparatus included a drier region where the DMF solvent was removed from the paint, leaving the cathode active material, carbon conductive agents, and binder on the film. Next, the film was compressed by running the film between a set of hardened steel rollers. A final film thickness of about 100 µm was obtained after compression.

Coin shaped type test cells were constructed by first accurately cutting out circular shaped samples (with a stamping tool) of cathode electrodes produced as above, and lithium metal foil (175 µm thick, for a negative electrode). In each case, the amount of electrode coating in the sample (and thereby the amount of active material) was determined by weighting the sample on a microbalance, and then subtracting the weight of the aluminum foil determined by removing the cathode film from three similar samples (removed by using DMF and a cotton swab, followed by cleaning with acetone), and finding the average weight of the foil. This careful procedure was necessary because the aluminum foil is thinned by a variable amount during the compression process. Next, lithium metal and separator were placed in the negative member of the coin cell (having an insulator gasket at its periphery), followed by the addition of a small amount of electrolyte (1.38 M LiPF₆ in 40/40/20 ethylene carbonate/dimethyl carbonate/diethyl carbonate by volume). Finally, the cathode was placed on top of the wetted separator, the positive can member was placed on top of the cathode, and the cell was closed using a crimping tool. The cell was placed in a test fixture wire connected to a computer controlled multichannel battery tester (Maccor Corporation, Tulsa OK).

Detailed discussion of Experimental results

All coin cell samples were charged to a maximum voltage of 4.2V for a total of 12 hours at a rate of which depended on the type of material (see below), followed by a discharge at the same rate to a minimum voltage of either 3.3V (for manganese containing samples) or 2.5V (for all others). Samples of LiNiO_2 and were charged at 22.5 mA/g (active material), $\text{Li}_x\text{Ni}_y\text{Co}_z\text{Al}_n\text{O}_2$ samples and mixtures of $\text{Li}_x\text{Ni}_y\text{Co}_z\text{O}_2$ and $\text{Li}_x\text{Mn}_{2-r}\text{Ml}_r\text{O}_4$ at 17.1 mA/g, and the pure $\text{Li}_x\text{Mn}_{2-r}\text{Ml}_r\text{O}_4$ samples at 15 mA/g. The variable rate charging was used so that all materials were nominally discharged in 8 hours. Also, because discharging $\text{Li}_x\text{Mn}_{2-r}\text{Ml}_r\text{O}_4$ below 3.3V was found to result in a rapid reduction in the capacity of the material at voltages above 3.3V (believed to be caused by the irreversibly transform of the spinel $\text{Li}_x\text{Mn}_{2-r}\text{Ml}_r\text{O}_4$ at $x > 1.0$ to a LiMnO_2 like compound) the minimum voltage was set at that potential.

Figure 2 shows the discharge curves of LiNiO_2 and $\text{LiNi}_{0.75}\text{Al}_{0.25}\text{O}_2$. While the voltage drop of the LiNiO_2 is significantly greater than that of either LiCoO_2 or LiMn_2O_4 , the material shows at least three discharge plateaus at around 4.13, 4.00, and 3.60V, and a discharge capacity of approximately 185 mAh/gm. The average discharge voltage of the aluminum substituted compound is slightly less than the Ni parent compound. In contrast the discharge capacity of $\text{LiNi}_{0.75}\text{Al}_{0.25}\text{O}_2$ is smaller (140 mAh/gm), but progresses with a voltage decreasing at an approximately constant rate with state of charge. The loss of fine structure in the discharge curve is believed to be caused by the breakdown of symmetry of the parent compound LiNiO_2 , as aluminum is randomly located into the nickel atoms normal sites of the layered compound. Because these plateaus are associated with various defined two dimensional energy states of lithium in the LiNiO_2 corresponding to a certain arrangement within the parent compound, substitution with aluminum destroys those defined Li/Ni state interaction, making the insertion of lithium occur in a more random material. Independent of the actual mechanism, the removal of the discharge plateaus from the voltage/capacity relationship can result in a substantial increase in the rate capability of the cathode, as described in US patent application serial No 08/493,715, filed July 22, 1995, naming Mayer as inventor and entitled: "NONAQUEOUS ELECTROLYTE SECONDARY BATTERY", M. Doyle et. al., J. Electrochem. Soc., Vol. 140, pg. 1523, 1993, and T. F. Fuller et. al, J. Electrochem. Soc., Vol. 141, pg. 1, 1994 (incorporated herein by reference for all purposes).

Figure 3 compares the discharge behavior of $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$ and $\text{LiNi}_{0.66}\text{Co}_{0.14}\text{Al}_{0.20}\text{O}_2$. While both materials show no voltage plateaus during discharge and appear similar, unlike the case of the Ni parent compound material, the discharge capacity of the aluminum substituted compound is smaller (140 mAh/gm vs 180 mAh/gm)

However, in this case the substitution of aluminum for Ni/Co results in no change in average discharge (apparently because $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$ has a slight amount of capacity at a slightly lower voltage than $\text{LiNi}_{0.66}\text{Co}_{0.14}\text{Al}_{0.20}\text{O}_2$)

Figure 4 shows the data presented in Figures 2 and 3 for $\text{LiNi}_{0.75}\text{Al}_{0.25}\text{O}_2$ and $\text{LiNi}_{0.66}\text{Co}_{0.14}\text{Al}_{0.20}\text{O}_2$, plotted together for easy comparison. The two materials discharge curves are very similar in average discharge voltage, slope of the discharge curve, and discharge capacity (about 140 mAh/gm).

In Figure 5, several different types of $\text{Li}_{1+v}\text{Mn}_{2-r}\text{M}_1\text{O}_4$ are compared. The parent compound LiMn_2O_4 , has more defined and flat voltage plateaus (observed at 4.10 and 3.95V), as well as a higher first discharge capacity (120 mAh/gm) than the doped materials $\text{Li}_{1.05}\text{Mn}_2\text{O}_4$ and $\text{LiMn}_{1.995}\text{Cr}_{0.005}\text{O}_4$ (about 110 and 115 mAh/gm respectively). However, as mentioned before, the undoped compound does not cycle well, while the addition of additives like Cr and excess Li improve the retention of capacity with cycle number.

The discharge curve for a 50/50 wt mixture of LiMn_2O_4 and $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$ is shown in Figure 6. The LiMn_2O_4 4.10V and 3.95V plateaus are still evident, but are smoothed out by the presence of $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$. The discharge capacity is approximately the average of the two pure compound, 142 mAh/gm, the slight difference probably attributable to the fact that $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$ has a slight amount of capacity below 3.3V vs. Li.

Figure 7 shows the charge and overcharge (above 4.2V) of a number of cathode materials at a nominal rate of 8 hours to reach 4.2V. Curve 1 corresponds to a $\text{Li}_{1.005}\text{Mn}_{1.995}\text{Cr}_{0.05}\text{O}_2$ electrode. Note that there is very little capacity above the normal charge voltage, and a slight shoulder around 4.6V. It is believed that the apparent capacity above 4.6 is likely associated with the decomposition of the electrolyte, and doesn't correspond to any lithium removal from $\text{Li}_{1.005}\text{Mn}_{1.995}\text{Cr}_{0.05}\text{O}_2$. It is clear from this figure that errors in charging $\text{Li}_{1.005}\text{Mn}_{1.995}\text{Cr}_{0.05}\text{O}_2$ (as might result from variation in charger performance) will not result in any significant removal of further lithium from the host, though undercharging (e.g. at 4.15V) can cause significant under-utilization of the material. Curve 4 in both Figures 7 and 8 is the overcharge data for a $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$ electrode. The capacity to 4.2V is somewhat greater than $\text{Li}_{1.005}\text{Mn}_{1.995}\text{Cr}_{0.05}\text{O}_2$ (170 mAh/g, vs. 110 mAh/g), and capacity continues to be drawn from the material above that potential, though at a decreasing rate. Again, at around 4.6V, the apparent capacity increases as electrolyte decomposition begins. Curve 2 is a 50/50 mixture of the

Li_{1.005}Mn_{1.995}Cr_{0.05}O₂ and LiNi_{0.81}Co_{0.19}O₂. The capacity and shape of the curve is approximately an average of the two materials. The slope of the charge curve at about 4.2V is quite sharp, indicating little capacity available beyond that potential. Curve 6 is an overcharge curve for LiNiO₂. This material exhibits an extremely large capacity (180 mAh/g). Also, the capacity removed at potentials slightly above 4.2V is very large (an additional 60 mAh/g between 4.2 and 4.3V), as the oxidation state of the material increases dramatically with voltage. 86% of the original lithium is removed at 4.3V. At higher states of charge the potential increases rapidly to 4.6V at which point electrolyte decomposition is evident. The total capacity removed at 4.6V corresponds to 92% of the original lithium in the compound.

Curve 5 of Figures 7 and 8 corresponds to the overcharge of a LiNi_{0.75}Al_{0.25} electrode. The capacity at 4.2V is less than the parent compound (about 165 mAh/gm) but exhibits a similar significant additional capacity removal up to 4.3V (30 mAh/g). While the slope of the charge curve is not as flat as that of LiNiO₂ around 4.2V, the slope is significantly greater than curve 3 or 4 (LiNi_{0.66}Co_{0.14}Al_{0.20}O₂ and LiNi_{0.81}Co_{0.19}O₂), as can be seen from Figure 8. The capacity removed corresponds to 64% at 4.3V, and 71% at 4.6V. Finally curve 3 corresponds to a LiNi_{0.66}Co_{0.14}Al_{0.20}O₂ cathode. The slope of the curve is the much greater than that for either LiNiO₂ or LiNi_{0.75}Al_{0.25}O₂ between 4.2 and 4.3V. The amount of lithium removed at 4.3 and 4.6V corresponds to 59% and 69% respectively. Table 3 compares a number of important parameters derived from the data of Figures 7 and 8 and elsewhere.

TABLE 3

Cathode Material	Molecular Weight (g/mole)	Theoretical Maximum Capacity (mAh/g)	Reversible Capacity (4.2V Charge, mAh/g) ¹	Charge Capacity at 4.3V (mAh/g) ² [Oxidation State]	Charge Capacity at 4.6V [Oxidation State] ²
LiNiO ₂	97.6	275	180	235 [3.85]	250 [3.91]
Li _{1.005} Mn _{1.995} Cr _{0.005} O ₄	180.4	147	110	114 [3.89]	118 [3.90]
LiNi _{0.81} Co _{0.19} O ₂	97.6	275	180	180 [3.65]	200 [3.73]
50/50 LiNi _{0.81} Co _{0.19} O ₂ / Li _{1.005} Mn _{1.995} Cr _{0.005} O ₄	139.0 (avg.)	211	142	141 [NA]	165 [NA]
LiNi _{0.75} Al _{0.25} O ₂	89.7	299	132	191 [3.64]	211 [3.71]
LiNi _{0.66} Co _{0.14} Al _{0.20} O ₂	91.3	294	137	171 [3.58]	202 [3.69]
LiCoO ₂	97.8	274	140	155 [3.57]	224 [3.82]

1 Cell fully charged at 4.2V for 12 hours, followed by discharge at 8 hour rate

2 Constant current charged at a rate corresponding to 8 hour discharge capacity above (i.e., not an equilibrium value)

5 Figures 9 through 14 are differential capacity curves derived from the data of Figure 7. The differential capacity is the derivative of the charging curve, dC/dV, having units of mAh/g/V. Peaks in the differential capacity correspond to plateaus in the capacity vs. voltage plot, and show at what potential large amounts of capacity changes are occurring.

Conversely, materials with dC/dV curves which show little feature represent intercalation that is more uniformly with potential.

Figure 9 shows the differential capacity charging curve for $\text{Li}_{1.05}\text{Mn}_{1.995}\text{Cr}_{0.005}\text{O}_2$. As shown, there are two large peaks at 4.0V and 4.15V. The value of dC/dV is nearly zero above 4.3V, with the oxidation of electrolyte occurring above 4.6V.

Figure 10 is a similar plot for LiNiO_2 . This figure exemplifies the problems that exist with the use of this material as a cathode. A large number of dC/dV peaks are evident at 3.7, 3.8, 3.9, 4.0 and 4.2 V. While little capacity is removed beyond 4.3 V, the oxidation state is very high at above 4.1V. Control of the oxidation state is difficult when charging at a potential in which a peak in the dC/dV curve occurs. Furthermore, small errors in charge voltage result in large changes in capacity. In a lithium-ion cell, this capacity must be absorbed by the carbon negative. Since the cell normally operates at as high a intercalation level as practical, it can not accommodate the additional lithium, and therefore lithium electroplating can occur. Electroplated lithium in the presence of a high oxidation state metal oxide cathode is a highly undesirable condition. Therefore, it is believed that LiNiO_2 is an unsafe material for use in lithium ion commercial applications. Some have suggested that by charging LiNiO_2 only to 4.1V, the oxidation state is lower and the material is safer. However, the capacity at and cell voltage is lower at 4.1V, and the safety problem is unchanged for a scenario in which the charger fails and the material is driven to a very high oxidation state.

Figure 11 shows the dC/dV curve for $\text{LiNi}_{0.75}\text{Al}_{0.2}\text{O}_2$. Smaller, broader peaks are observed compared to the parent compound LiNiO_2 . The peak at 4.2V is about 40% smaller, and its center is shifted from 4.2 to 4.25V. The maximum oxidation state of this material is less than the parent, but is similar to that of the cobalt and aluminum-cobalt substituted compounds. However, as noted above, the oxidation state around the nominal charge voltage can still change significantly, as evident by the peak in figure 9 at 4.25V.

Figure 12 shows the dC/dV curve for a $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$ cathode. A sharp initial peak at 3.6V appears which is not evident in the parent compound. Furthermore, all other peaks observed in the parent are greatly diminished. Most notably the peak at 4.2V is small (20% of the parent and one-half as small as the aluminum substituted compound). This material is better than either the nickel or nickel-aluminum compounds regarding capacity control at the standard charge voltage, but can still be unstable under other abusive conditions such as heating (due to external heating or a short circuit).

Figure 13 is a dC/dV plot of a 50/50 mixture of $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$ and $\text{Li}_{1.05}\text{Mn}_{1.995}\text{Cr}_{0.005}\text{O}_2$. As expected, the curve is basically an average of the results in Figures 9 and 12. Very little capacity is removed above 4.3V, and this material combination is significantly safer from abuse due to charger charge voltage fluctuations (little capacity at charge voltage), overcharge ($\text{Li}_{1.05}\text{Mn}_{1.995}\text{Cr}_{0.005}\text{O}_2$ does not decompose by giving off much heat, and $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$ is much better than LiNiO_2 in this regard), and short circuit. Charge capacity is distributed better in voltage regime than $\text{Li}_{1.05}\text{Mn}_{1.995}\text{Cr}_{0.005}\text{O}_2$, yielding a composite with a better rate capability.

Figure 14 show the differential charging capacity of $\text{LiNi}_{0.66}\text{Co}_{0.14}\text{Al}_{0.2}\text{O}_2$. The curve shows a peak at the beginning of charge at 3.65V, a continuously decreasing differential capacity all the way up to around 4.6V. Because of the high thermal stability and absences of a differential capacity peak at 4.2V, this material is ideal for lithium ion battery application. Excellent capacity, ease of control of state of charge with voltage, and thermal stability make this material ideal.

Although the foregoing invention has been described in some detail for purposes of clarity of understanding, it will be apparent that certain changes and modifications may be practiced within the scope of the appended claims. For instance, although the specification has primarily described electrodes for use in lithium ion cells, the positive electrode materials disclosed herein may have other applications as well. For example, they may be used in lithium metal cells. Further, other positive electrode materials including sodium, potassium, etc. may be used in place of lithium and prepared in accordance with this invention. Therefore, the present embodiments are to be considered as illustrative and not restrictive, and the invention is not to be limited to the details given herein, but may be modified within the scope of the appended claims.

What is claimed is:

1. An electrode comprising a chemical compound of the formula $\text{Li}_x\text{Ni}_y\text{Co}_z\text{M}_n\text{O}_2$ having an $\alpha\text{-NaCrO}_2$ crystal structure, where M is selected from the group consisting of aluminum, titanium, tungsten, chromium, molybdenum, magnesium, tantalum, silicon, and combinations thereof, x is between about 0 and about 1 and can be varied within this range by electrochemical insertion and extraction, the sum of $y+z+n$ is about 1, n ranges between above 0 to about 0.25, y and z are both greater than 0, and the ratio z/y ranges from above 0 to about 1/3.
2. The electrode of claim 1, wherein M includes at least one of aluminum, titanium, and tungsten.
3. The electrode of claim 1, wherein M is aluminum.
4. The electrode of claim 1, wherein M is aluminum, y is about 0.6, z is about 0.15, and n is about 0.25.
5. The electrode of claim 1 further comprising a binder of a type and in an amount that effectively binds the first and second homogeneous lithium transition metal containing materials, wherein the binder is selected from the group consisting of polyvinylidene difluoride, polytetrafluoroethylene, ethylene propylene diene monomer, and polytetrafluoroethylene.
6. The electrode of claim 1 further comprising carbon as an electronic conductor.
7. The electrode of claim 1 wherein the chemical compound is a particulate material in which the particles have an average particle size of not greater than about 50 μm .
8. The electrode of claim 1 further comprising a current collector.
9. The electrode of claim 1, further comprising a lithium manganese oxide chemical compound of the formula $\text{Li}_x\text{Mn}_{2-r}\text{M1}_r\text{O}_4$, where M1 is tungsten, titanium, chromium, nickel, cobalt, iron, tin, zinc, zirconium, silicon, or combinations thereof, and r ranges between about 0 and 1.

10. A method of synthesizing a chemical compound of the formula $\text{Li}_x\text{Ni}_y\text{Co}_z\text{M}_n\text{O}_2$ having an $\alpha\text{-NaCrO}_2$ crystal structure, where M is selected from the group

consisting of aluminum, titanium, tungsten, chromium, molybdenum, magnesium, tantalum, silicon, and combinations thereof, x is between about 0 and about 1 and can be varied within this range by electrochemical insertion and extraction, the sum of $y+z+n$ is about 1, n ranges between above 0 to about 0.25, y and z are both greater than 0, and the ratio z/y ranges from above 0 to about 1/3, the method comprising the following:

(a) combining (i) a lithium composition including at least one of lithium carbonate, lithium hydroxide, lithium acetate and lithium nitrate, (ii) at least one of a metal hydroxide, a metal oxide, an elemental metal, or a metal carbonate containing M, (iii) a cobalt composition including at least one of cobalt, cobalt oxide, cobalt hydroxide, cobalt carbonate, cobalt acetate, and cobalt nitrate, and (iv) a nickel composition including at least one of nickel, nickel hydroxide, nickel carbonate, nickel acetate, and nickel nitrate; and

(b) thermally reacting the combination of (i), (ii), (iii) and (iv) at a temperature of between about 500 and 1300 °C.

11. The method of claim 10, wherein M includes aluminum.

12. The method of claim 10, wherein said step of thermally reacting is performed at a temperature of between about 600 and 1000 °C.

13. The method of claim 10, wherein the step of thermally reacting is performed in atmosphere of flowing air or flowing oxygen.

14. A method of synthesizing a chemical compound of the formula $\text{Li}_x\text{Ni}_y\text{Co}_z\text{M}_n\text{O}_2$ having an $\alpha\text{-NaCrO}_2$ crystal structure, where M is selected from the group consisting of aluminum, titanium, chromium and combinations thereof, x is between about 0 and about 1 and can be varied within this range by electrochemical insertion and extraction, the sum of $y+z+n$ is about 1, n ranges between above 0 to about 0.25, y and z are both greater than 0, and the ratio z/y ranges from above 0 to about 1/3, the method comprising the following:

(a) combining (i) a lithium metal oxide of the formula LiMO_2 , (ii) lithium cobalt oxide (LiCoO_2), and (iii) lithium nickel oxide (LiNiO_2); and

(b) thermally reacting the combination of (i), (ii), and (iii) at a temperature of between about 500 and 1300 °C.

15. The method of claim 14, wherein M includes aluminum.

16. The method of claim 14, wherein said step of thermally reacting is performed at a temperature of between about 600 and 1000 °C.

17. A lithium insertion positive electrode comprising a mixture of two or more homogeneous lithium ion insertion materials,

wherein at least one of the homogeneous lithium ion insertion materials is a
5 chemical compound of the formula $\text{Li}_x\text{Ni}_y\text{Co}_z\text{M}_n\text{O}_2$ having an $\alpha\text{-NaCrO}_2$ crystal structure, where M is selected from the group consisting of aluminum, titanium, tungsten, chromium, molybdenum, magnesium, tantalum, silicon, and combinations thereof, x is between about 0 and about 1 and can be varied within this range by electrochemical insertion and extraction, the sum of $y+z+n$ is about 1, n ranges between 0 to about 0.25, y is greater than 0, at least
10 one of z and n is greater than zero, and the ratio z/y ranges from above 0 to about 1/3, and

wherein at least one of the homogeneous lithium ion insertion materials is a lithium manganese oxide chemical compound of the formula $\text{Li}_x\text{Mn}_{2-r}\text{M1}_r\text{O}_4$, where M1 is tungsten, titanium, chromium, or combinations thereof, and r ranges between about 0 and 1.

15 18. The lithium insertion positive electrode of claim 17, wherein M includes aluminum.

19. The lithium insertion positive electrode of claim 17, wherein n equals 0.

20 20. The lithium insertion positive electrode of claim 17, further comprising a current collector and an electronic conductor.

21. The lithium insertion positive electrode of claim 17, wherein the mixture of two or more homogeneous lithium ion insertion materials is characterized by a ratio of first
25 cycle charge capacity to first cycle discharge capacity, and wherein the relative amounts of the two or more homogeneous lithium ion insertion materials in the mixture are such that said ratio is substantially the same as corresponding ratio for a negative electrode with which the positive electrode will be used, whereby the total amount of positive electrode material required for formation of a lithium intercalation negative electrode is about equal to the
30 amount of positive electrode material required to reversibly discharge said lithium intercalation negative electrode.

22. The lithium insertion positive electrode of claim 21 wherein the first and second ratios are within about 5 % of one another.

35

23. The lithium insertion positive electrode of claim 21 wherein the first and second ratios are within about 1 % of one another.

24. The lithium insertion positive electrode of claim 17, wherein r is at most about 0.08.

25. The lithium insertion positive electrode of claim 17, wherein z equals 0.

26. A lithium ion cell comprising:

(a) a cell container;

(b) a negative electrode provided within the cell container and capable of intercalating lithium during charge and deintercalating lithium during discharge, the negative electrode including a specified negative electrode material;

(c) an electrolyte conductive to lithium ions and provided within said cell container; and

(d) a positive electrode provided within the cell container and capable of taking up lithium on discharge and releasing lithium on charge, the positive electrode including a

chemical compound of the formula $\text{Li}_x\text{Ni}_y\text{Co}_z\text{M}_n\text{O}_2$ having an $\alpha\text{-NaCrO}_2$ crystal structure, where M is selected from the group consisting of aluminum, titanium, tungsten, chromium, molybdenum, magnesium, tantalum, silicon, and combinations thereof, x is between about 0 and about 1 and can be varied within this range by electrochemical insertion and extraction, the sum of $y+z+n$ is about 1, n ranges between above 0 to about 0.25, y and z are both greater than 0, and the ratio z/y ranges from above 0 to about 1/3.

27. The lithium ion cell of claim 26 wherein the negative electrode includes a mixture of homogeneous graphitic carbon particles and homogeneous non-graphitic carbon particles.

28. The lithium ion cell of claim 26 wherein the electrolyte includes one or more of the following: propylene carbonate, ethylene carbonate, 1,2-dimethoxyethane, 1,2-diethoxyethane, γ -butyrolactone, tetrahydrofuran, 2-methyltetrahydrofuran, 1,3-dioxolan, 4-methyl 1,3-dioxolan, diethyl ether, sulfolane, acetonitrile, propionitrile, glutaronitrile, dimethyl carbonate, diethyl carbonate, anisole, and mixtures thereof.

29. The lithium ion cell of claim 28 wherein the electrolyte further includes one or more of the following salts: $\text{LiN}(\text{SO}_2\text{CF}_3)_2$, $\text{LiN}(\text{SO}_2\text{C}_2\text{F}_5)_2$, LiAsF_6 , LiPF_6 , LiBF_4 , $\text{LiB}(\text{C}_6\text{H}_5)_4$, LiCl , LiBr , $\text{CH}_3\text{SO}_3\text{Li}$, and $\text{CF}_3\text{SO}_3\text{Li}$.

30. The lithium ion cell of claim 28 wherein the electrolyte includes a solvent mixture selected from the group consisting of (a) ethylene carbonate, dimethyl carbonate, and diethyl carbonate; (b) ethylene carbonate, ethyl methyl carbonate, and dimethyl carbonate; (c)

34.

ethylene carbonate, ethyl methyl carbonate, and diethyl carbonate; and (d) ethylene carbonate, ethyl methyl carbonate, diethyl carbonate, and dimethyl carbonate.

31. A lithium ion cell comprising:

5

(a) a cell container;

(b) a negative electrode provided within the cell container and capable of intercalating lithium during charge and deintercalating lithium during discharge, the negative electrode including a mixture of homogeneous graphitic carbon particles and homogeneous non-graphitic carbon particles;

10

(c) an electrolyte conductive to lithium ions and provided within said cell container; and

(d) a positive electrode provided within the cell container and capable of taking up lithium on discharge and releasing lithium on charge, the positive electrode including a chemical compound of the formula $\text{Li}_x\text{Ni}_y\text{Co}_z\text{M}_n\text{O}_2$ having an $\alpha\text{-NaCrO}_2$ crystal structure,

15

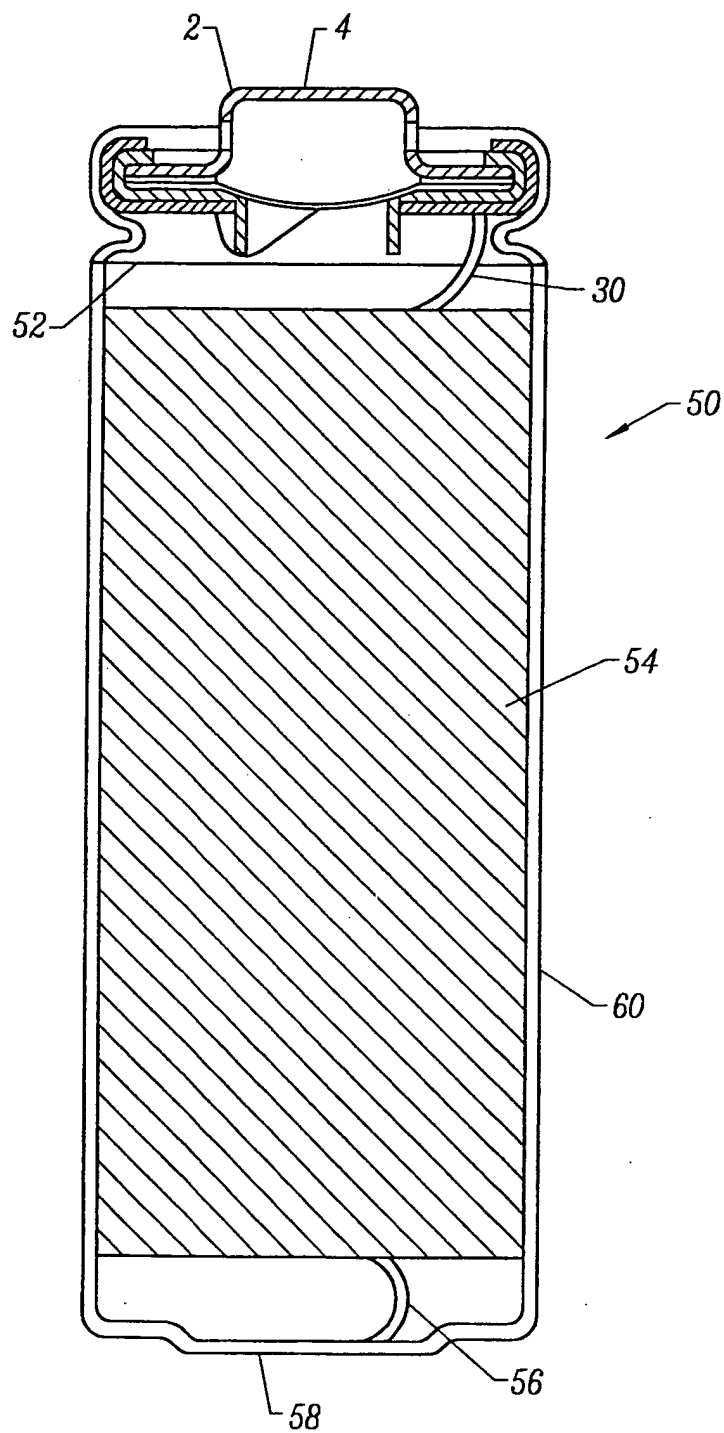
where M is selected from the group consisting of aluminum, titanium, tungsten, chromium, molybdenum, magnesium, tantalum, and combinations thereof, x is between about 0 and about 1 and can be varied within this range by electrochemical insertion and extraction, the sum of $y+z+n$ is about 1, n ranges between about 0 to about 0.25, y and z are both greater than 0, and the ratio z/y ranges from above 0 to about 1/3.

20

32. The lithium ion cell of claim 31, wherein the positive electrode includes a lithium manganese oxide chemical compound of the formula $\text{Li}_x\text{Mn}_{2-r}\text{M1}_r\text{O}_4$, where M1 is tungsten, titanium, chromium, or combinations thereof, and r ranges between about 0 and 1

25

33. The lithium ion cell of claim 32, wherein n equals 0.

*FIG. 1*

SUBSTITUTE SHEET (RULE 26)

2/14

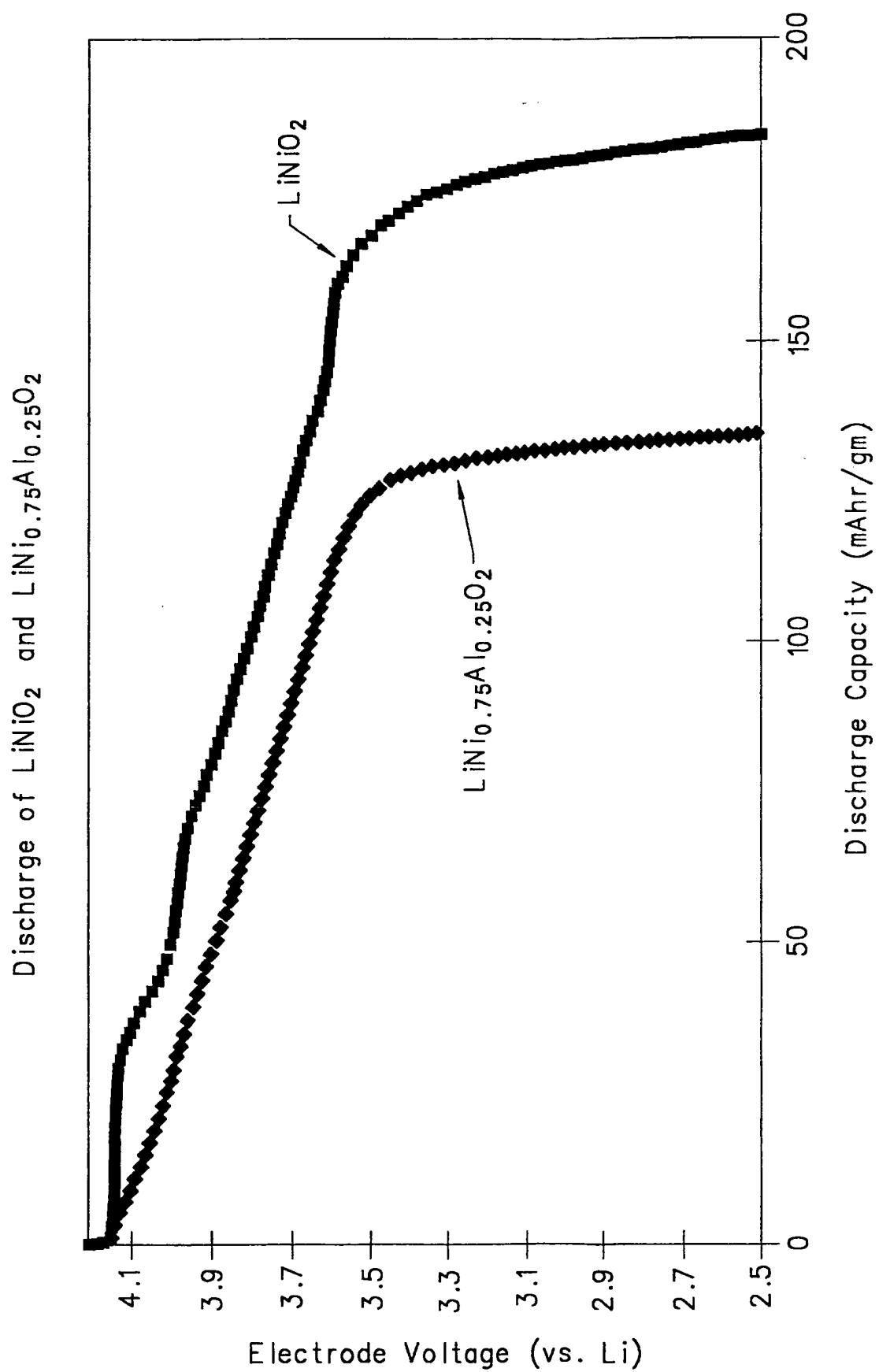


FIG. 2

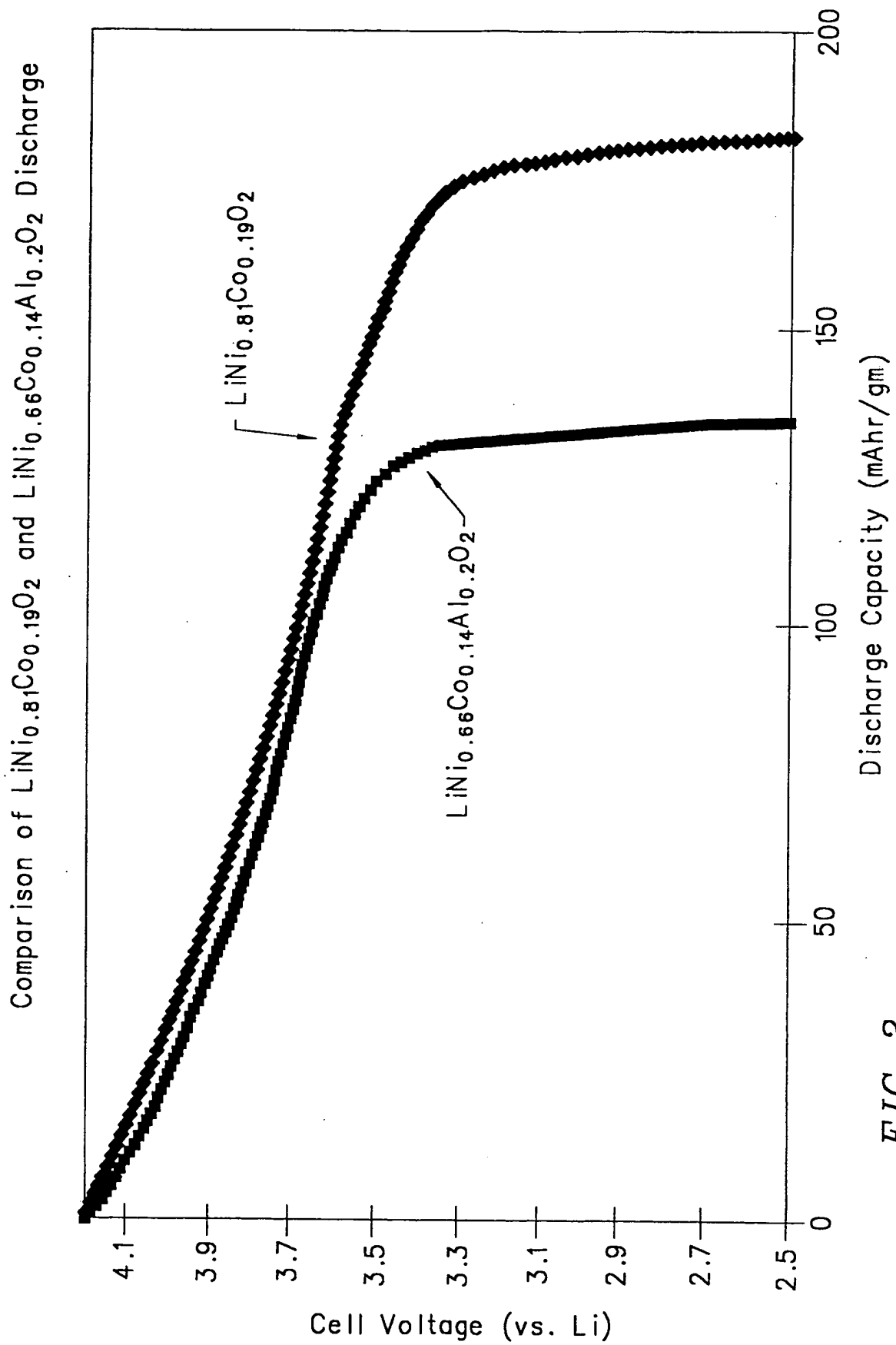


FIG. 3

4/14

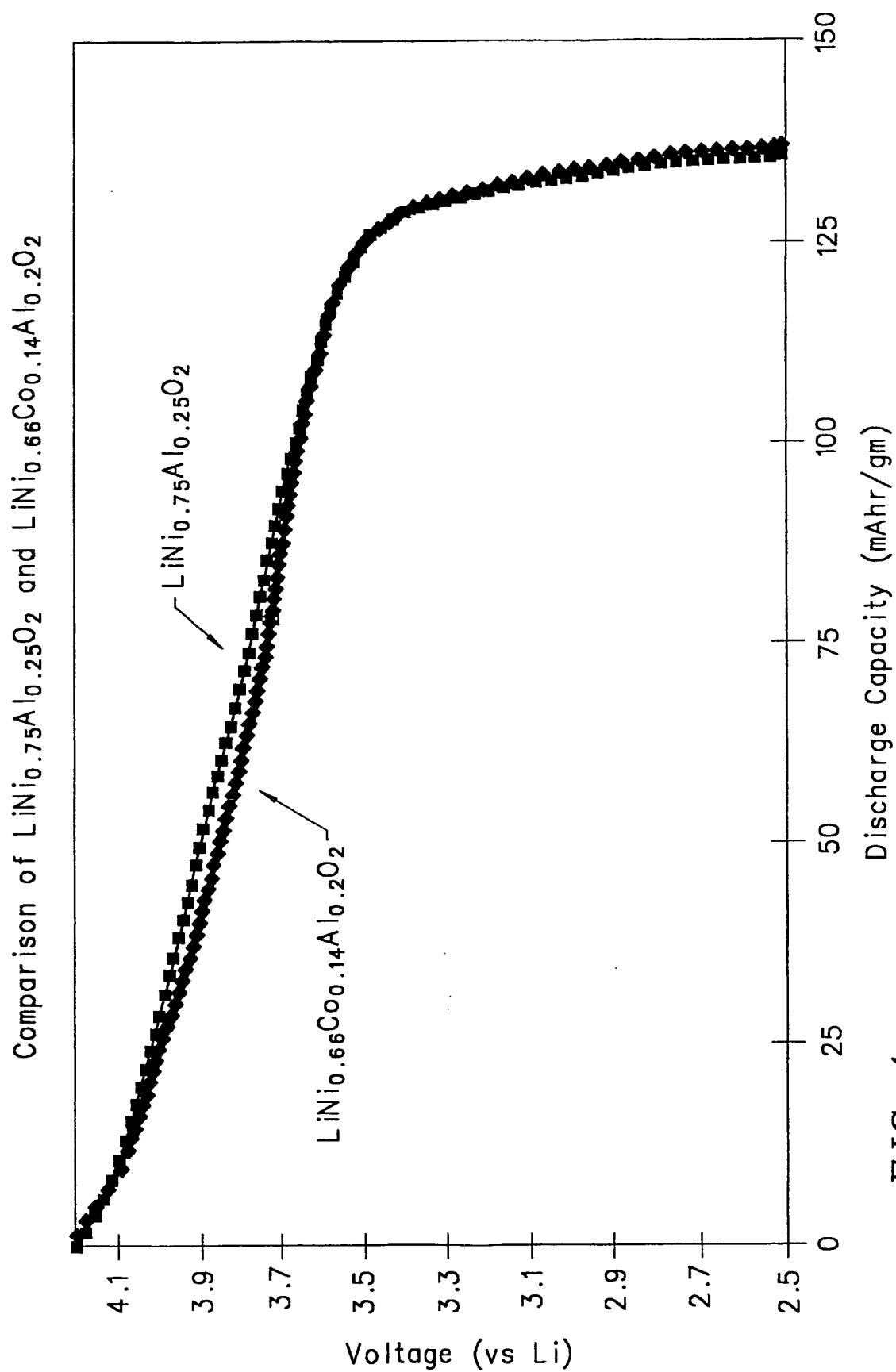


FIG. 4

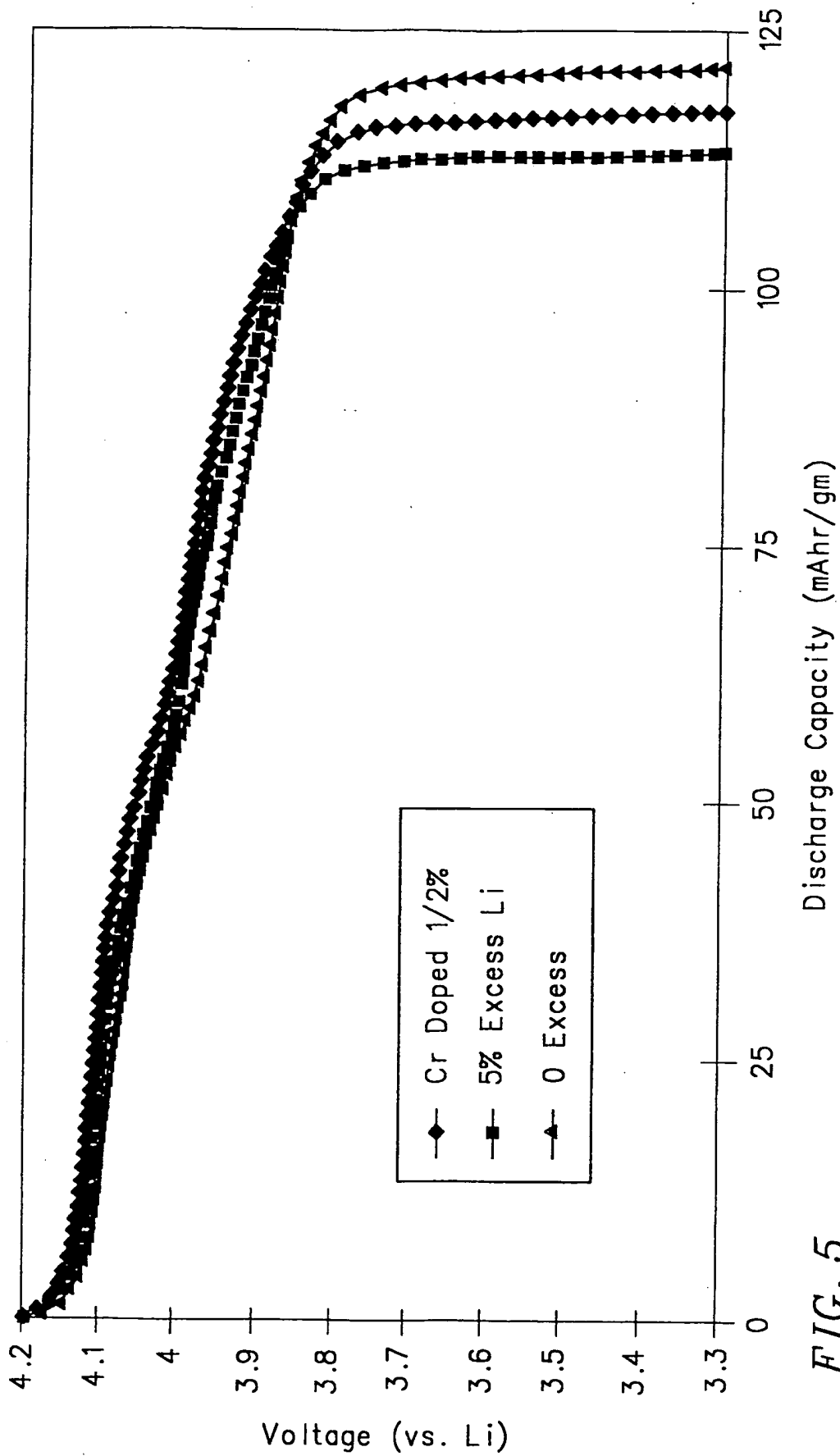
Comparison of different Types of LiMn_2O_4 

FIG. 5

6/14

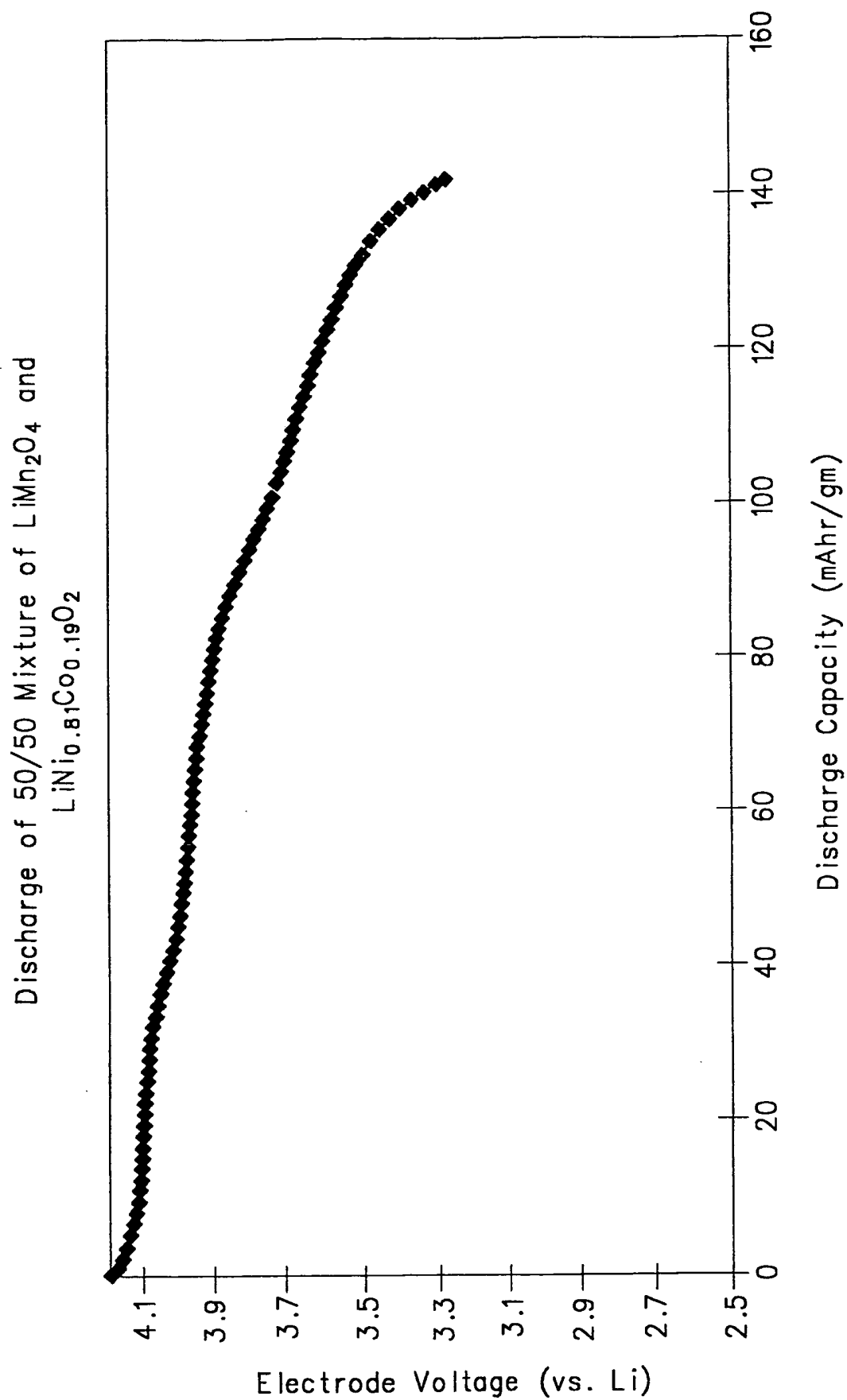


FIG. 6

Overcharge Comparison of Various Cathodes

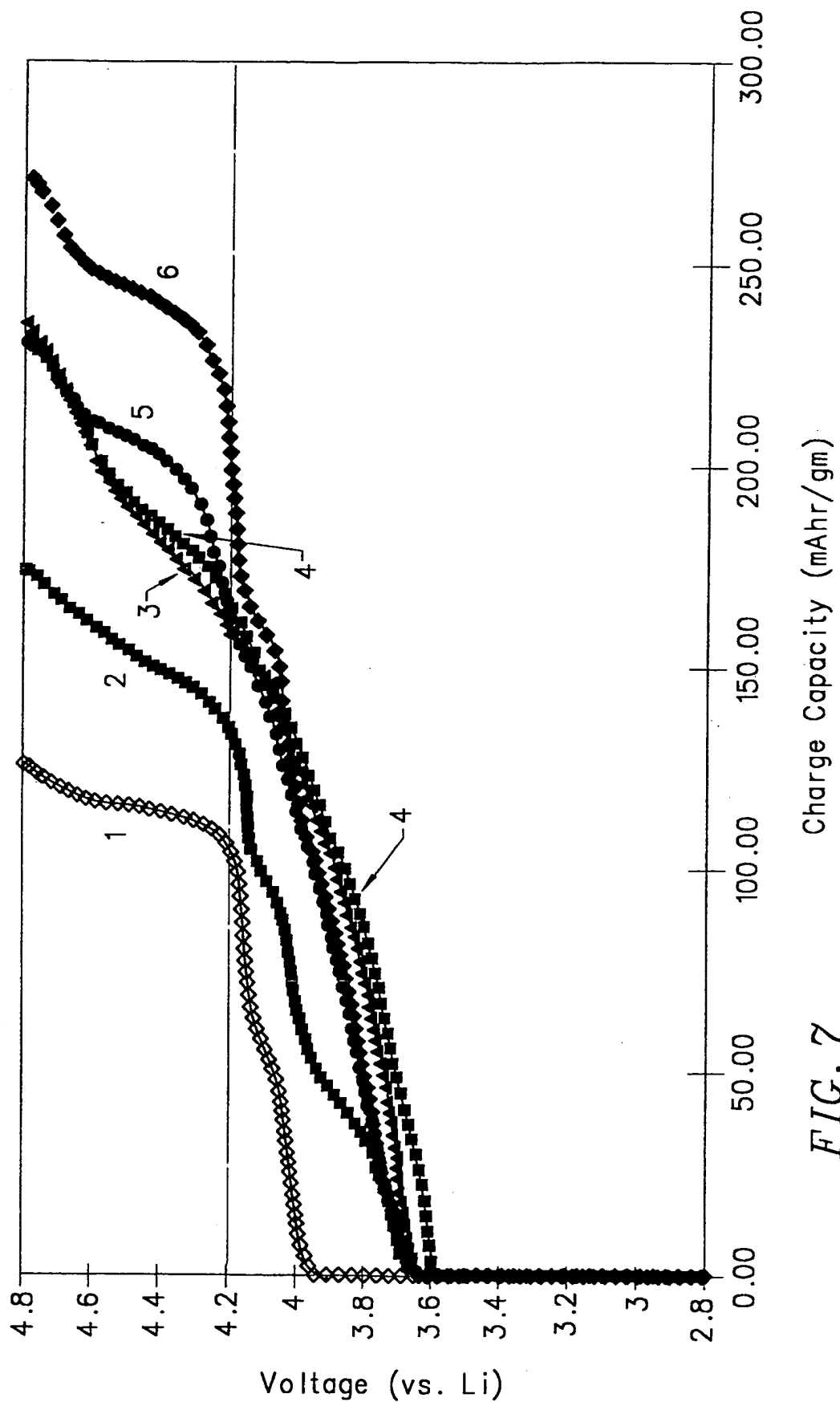


FIG. 7

8/14

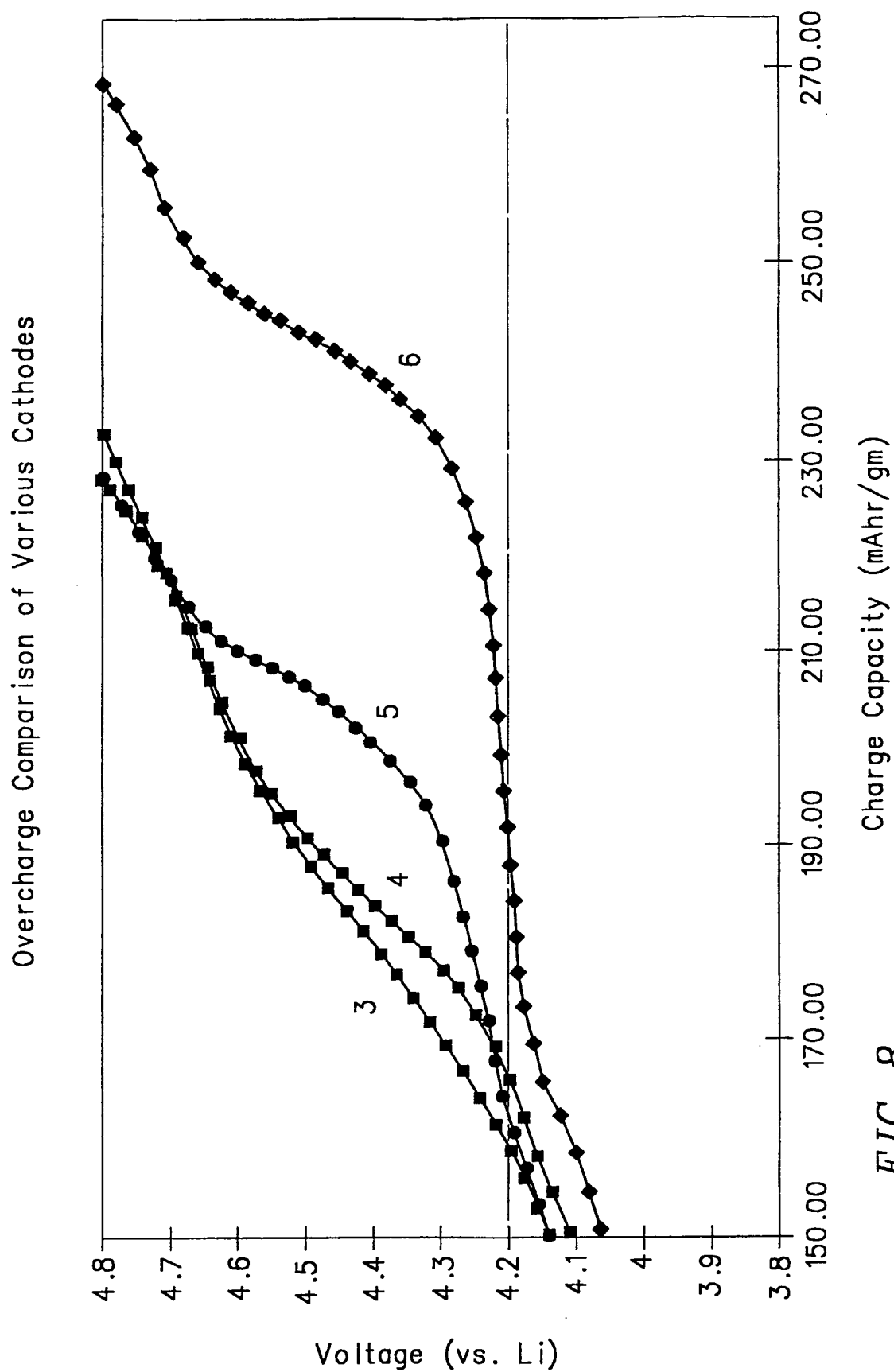


FIG. 8

Differential Capacity of $\text{Li}_{1.05}\text{Mn}_{1.995}\text{Cr}_{0.005}\text{O}_2$

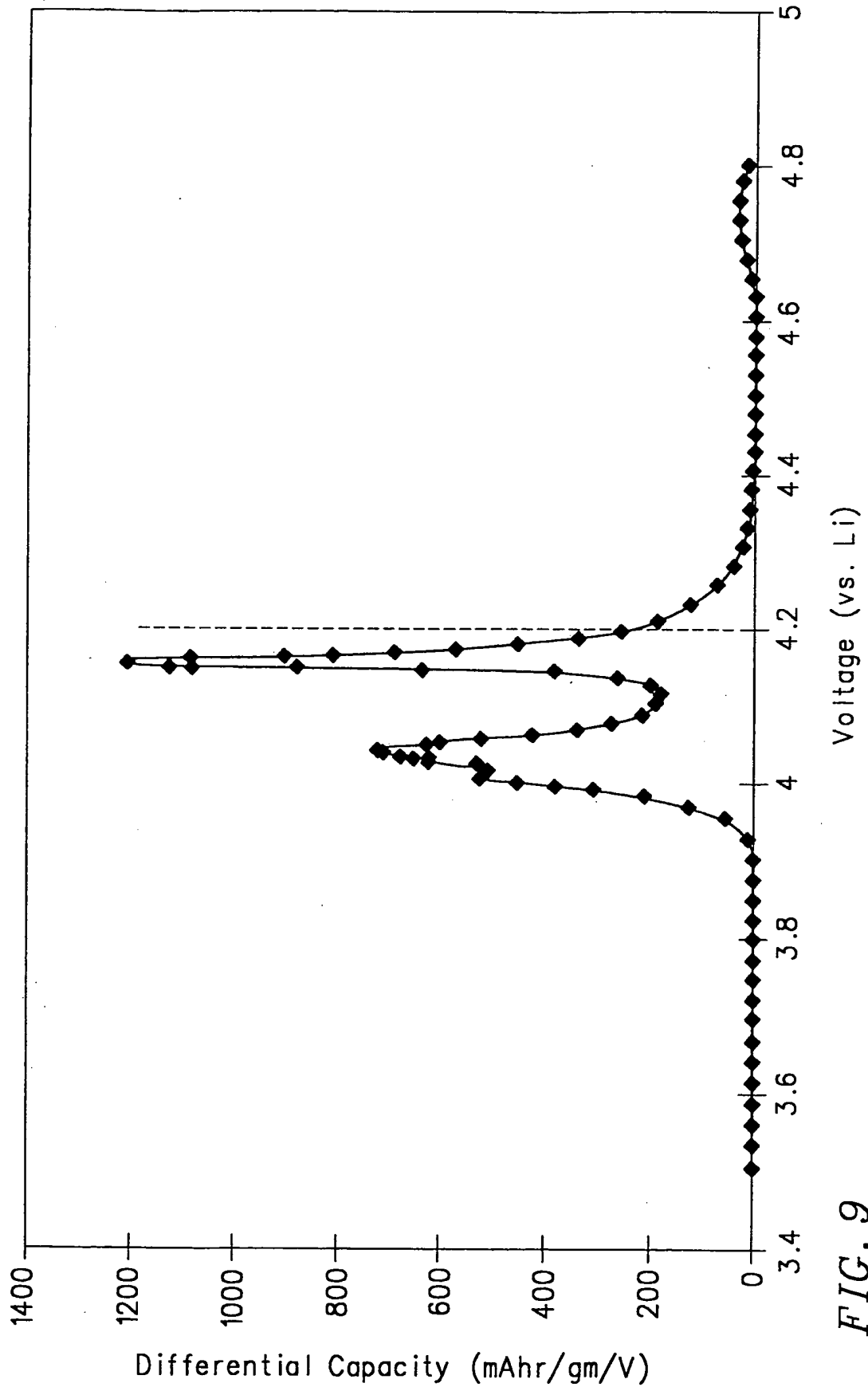


FIG. 9

SUBSTITUTE SHEET (RULE 26)

10/14

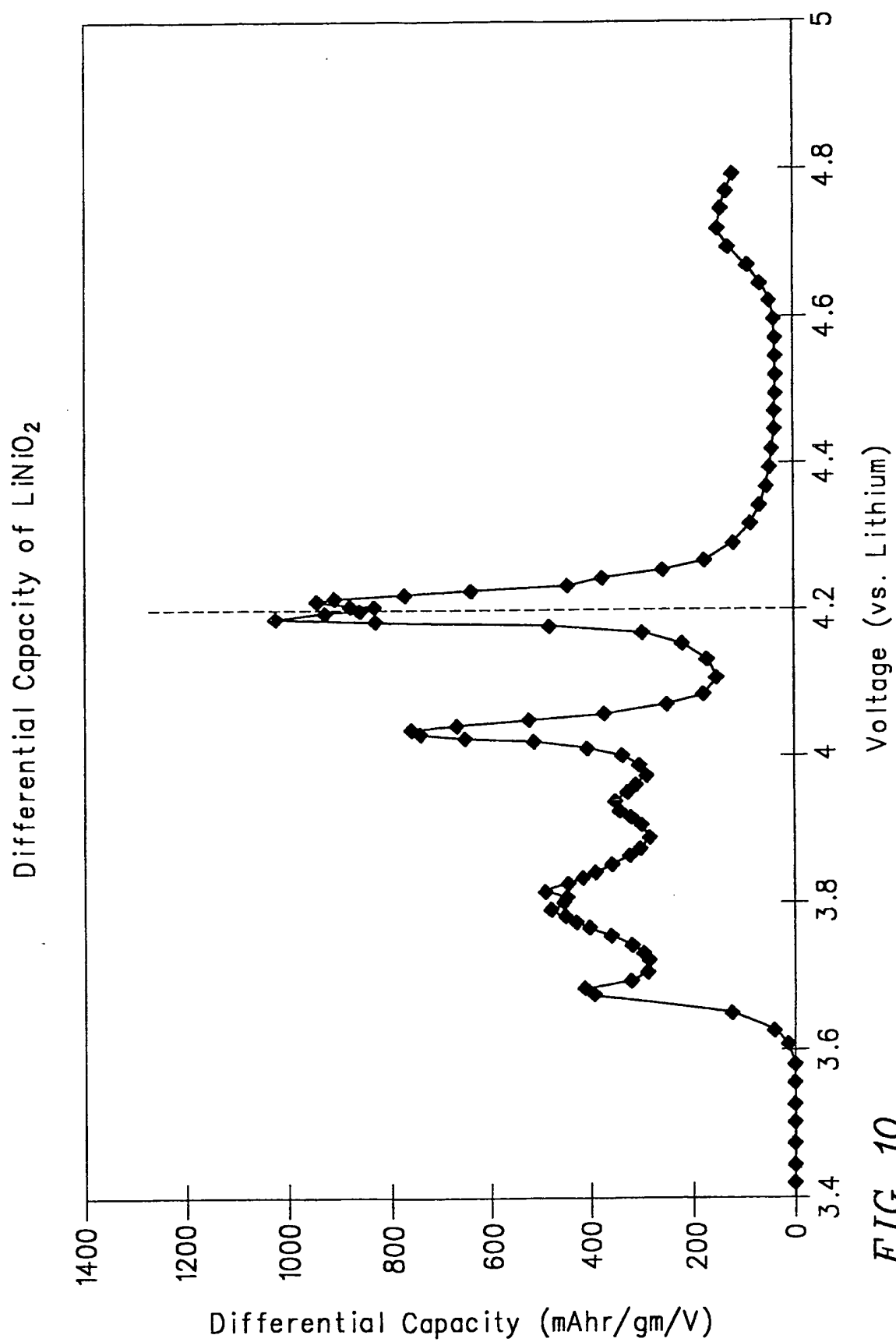


FIG. 10

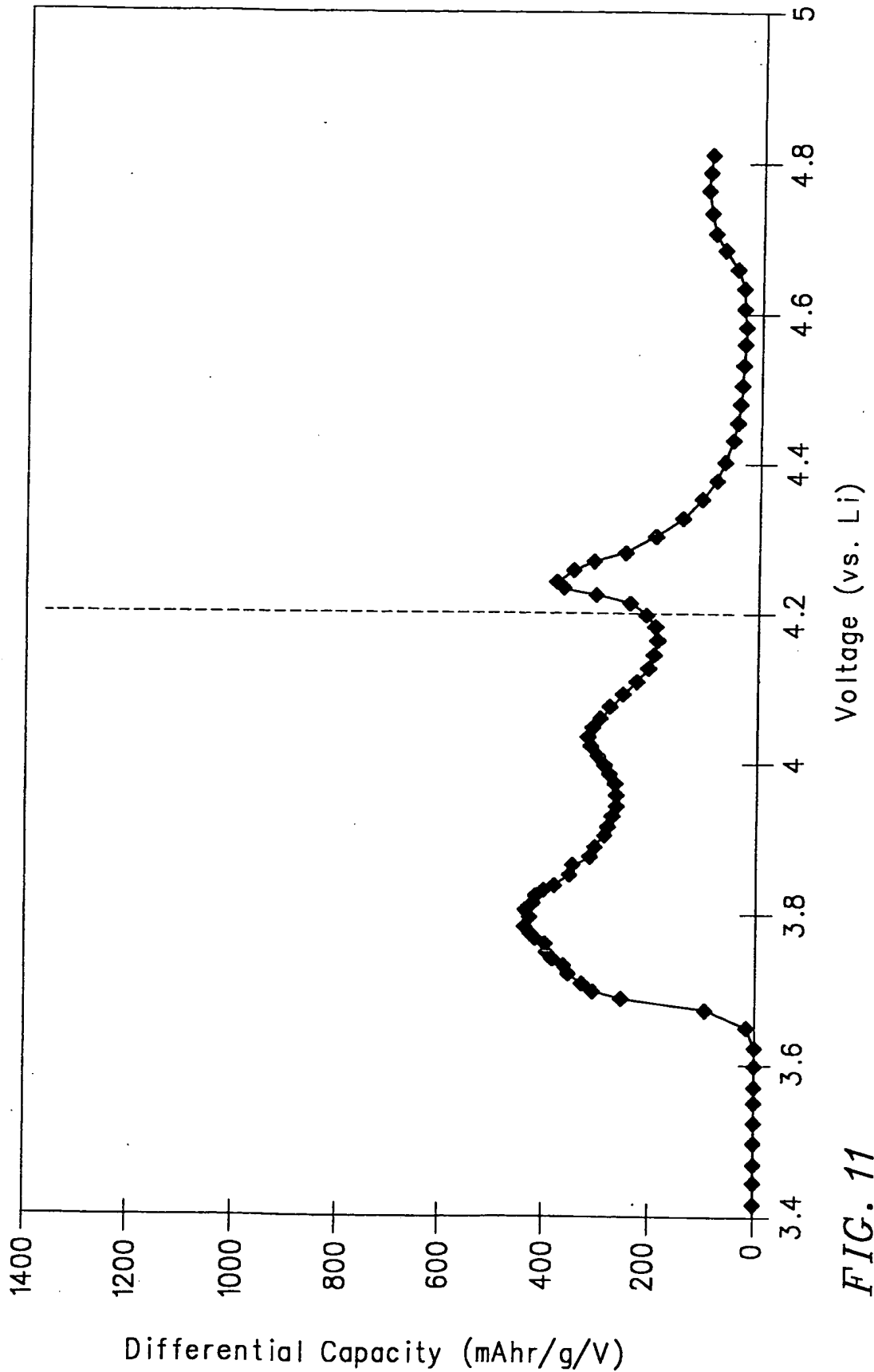
Differential Capacity of $\text{LiNi}_{0.75}\text{Al}_{0.25}\text{O}_2$ 

FIG. 11

12/14

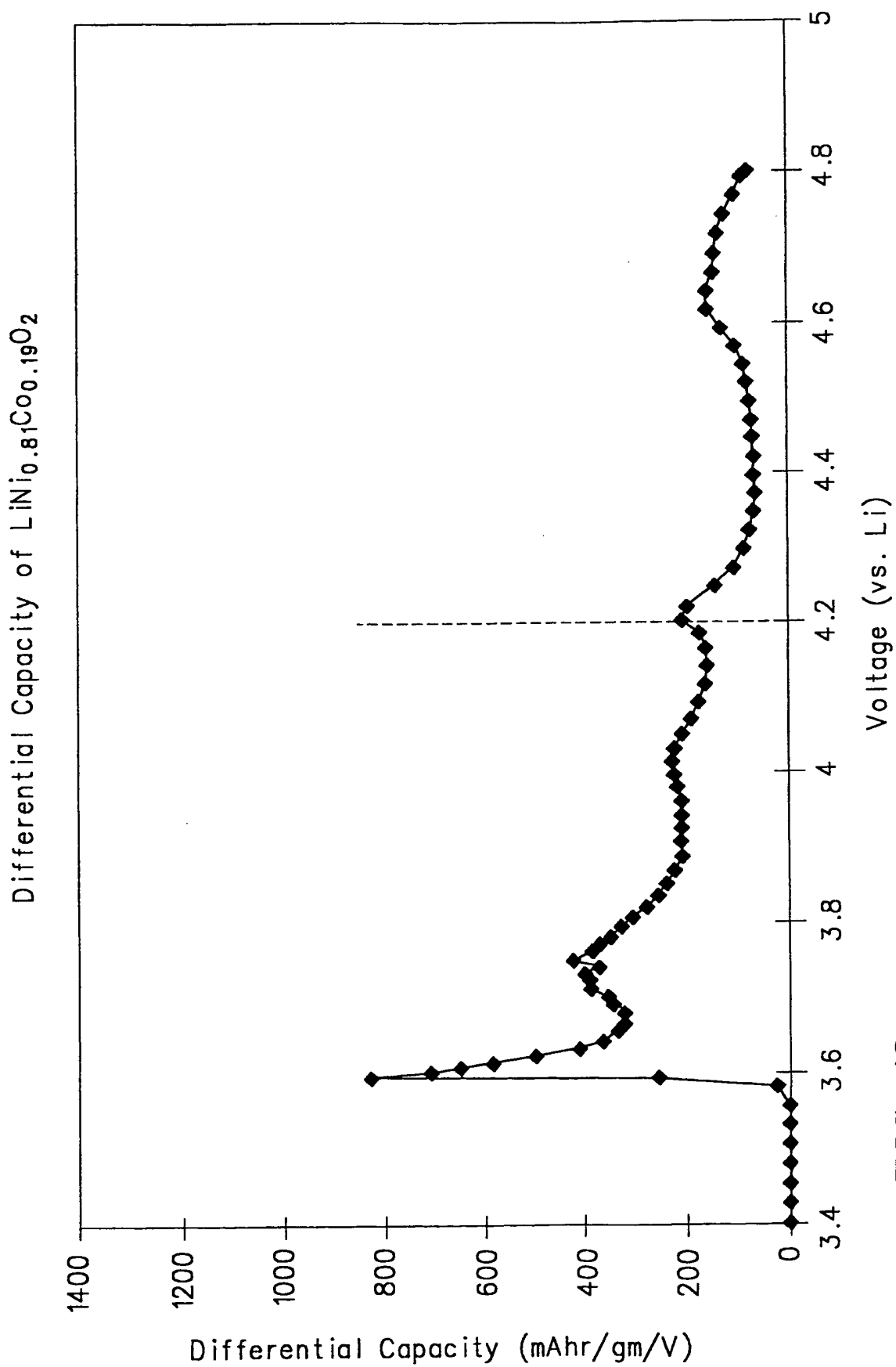


FIG. 12

50/50 Mixture of $\text{Li}_{1.05}\text{Mn}_{1.995}\text{Cr}_{0.005}\text{O}_2$ and $\text{LiNi}_{0.81}\text{Co}_{0.19}\text{O}_2$

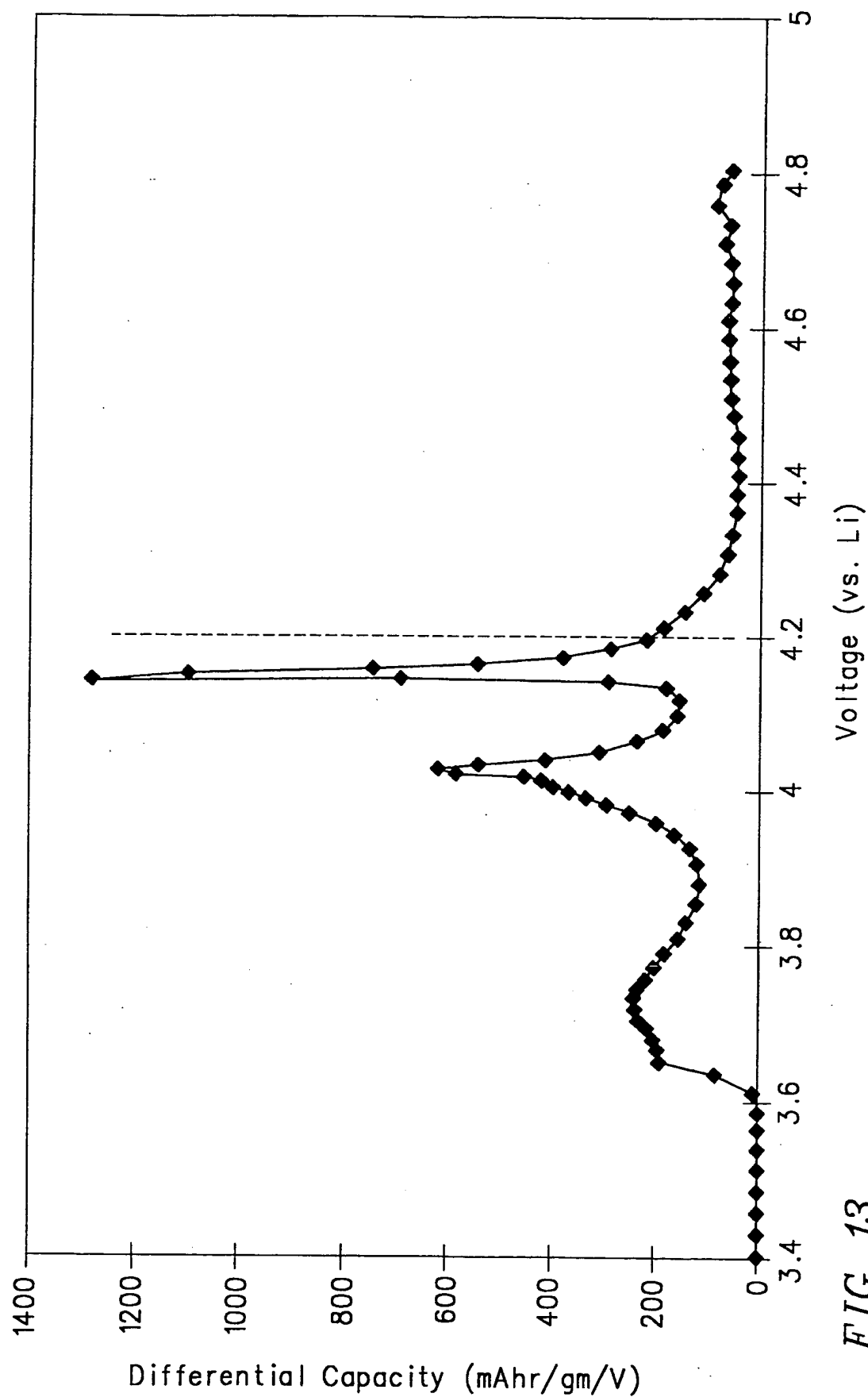


FIG. 13

SUBSTITUTE SHEET (RULE 26)

14/14

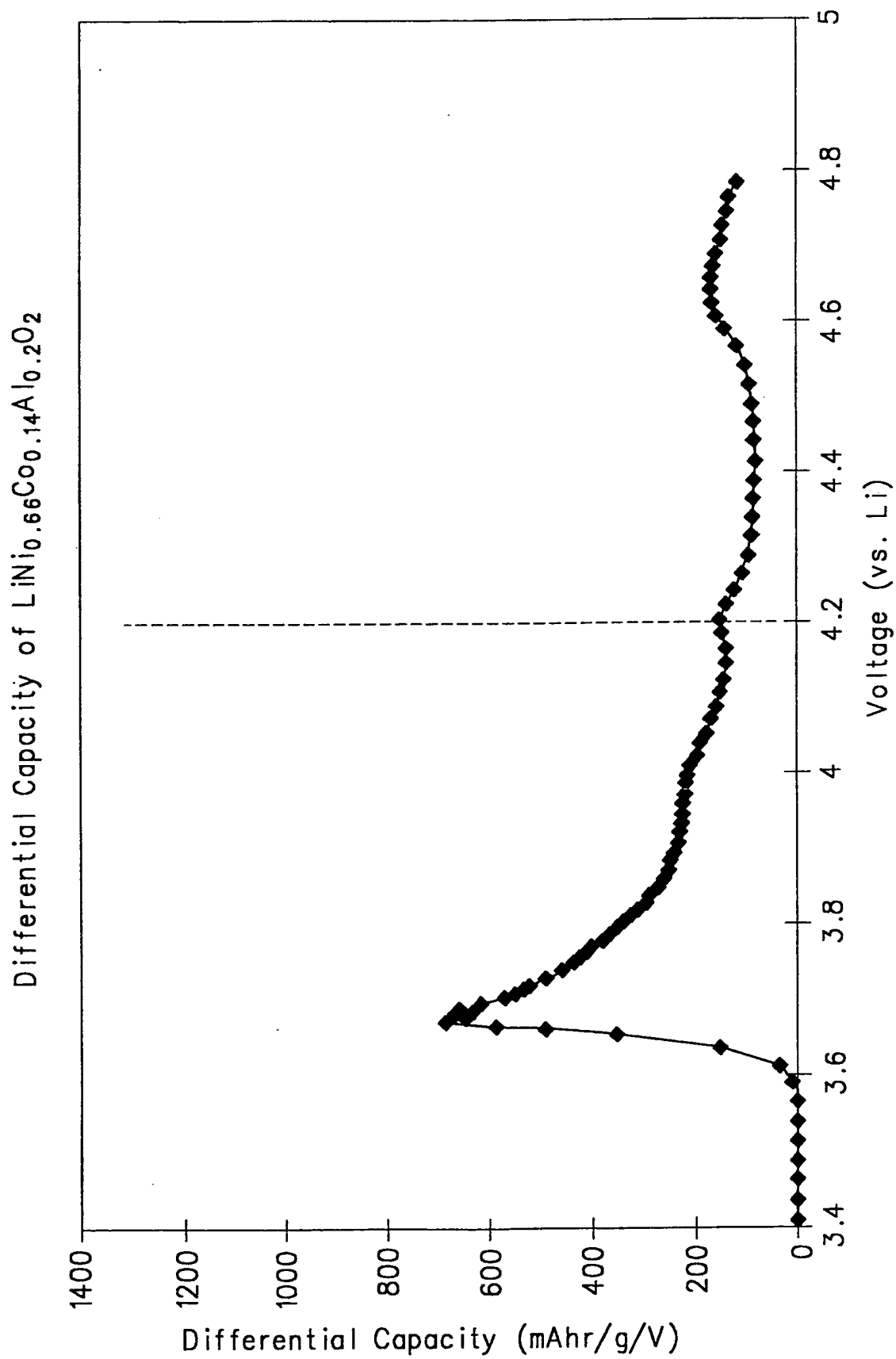


FIG. 14

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :H01M 2/04

US CL :429/218, 221, 223, 224, 232, 233

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 429/218, 221, 223, 224, 232, 233

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
NONEElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)
NONE**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	JP 05-23699 A (TSUTOMY MIYASAKA) 21 October 1993 (21-10-93), abstract.	1-33
Y	JP 04-300153 A (TOSHIYUKI NOMA ET AL) 20 December 1993 (20-12-93), abstract.	1-33
Y	US 5,429,890 A (PYNENBURG et al) 04 July 1995 (04-07-95), column 7, lines 55-68, column 8, lines 1-24.	20, 31, 33



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
I document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

15 JANUARY 1998

Date of mailing of the international search report

04 MAR 1998

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

MARIA NUZZOLILLO

Telephone No. (703) 308-0661

THIS PAGE BLANK (USPTO)